INSTITUT LAUE LANGEVIN

Nanoscience with neutrons – small angle scattering and neutron reflectivity

Nina-Juliane Steinke, Institut Laue Langevin steinkenj@ill.fr



















- \rightarrow They keep your atomic nuclei stable.
- → They can see magnetism:
 - \rightarrow Same magnitude as structural scattering
 - \rightarrow Simple magnetic interaction: dipolar, sensitive to B \rightarrow easy to model
- → They scatter from the atomic nucleus, not the electron cloud, clean structure, weak scattering → easy to model
- \rightarrow They see Hydrogen, Li and other light elements really well
- \rightarrow They probe the whole sample (and the substrate and the sample holder)



eutron



- \rightarrow They keep your atomic nuclei stable.
- \rightarrow They can see magnetism.
 - \rightarrow They scatter from the atomic nucleus, not the electron cloud.
 - They can see Hydrogen, Deuterium and Li really, really well.
 - They probe the whole sample, including structures deep inside the sample (and the substrate and the sample holder).







Why neutrons? Neutron scattering strength varies more or less randomly from element to element, and isotope to isotope.





To measure average distance you need elastic, coherent scattering, generally in reciprocal space. This is true also for SANS and NR





Reciprocal space [edit]

Reciprocal space (also called k-space) provides a way to visualize the results of the Fourier transform of a spatial function. It is similar in role to the frequency domain arising from the Fourier transform of a time dependent function; reciprocal space is a space over which the Fourier transform of a spatial function is represented at spatial frequencies or wavevectors of plane waves of the Fourier transform. The domain of the spatial function itself is often referred to as real space. In physical applications, such as crystallography, both real

Wikipedia





So for a nanoscale scattering experiment:



Nanoscale scattering experiments measure small angles; which scattering geometry works best?

Nano-**structures** \rightarrow elastic scattering $\rightarrow 2d \sin \theta = n\lambda$, $\mathbf{q} = \mathbf{k}_{f} - \mathbf{k}_{i}$ Lengths scales are large \rightarrow scattering angles are small

Two possible scattering geometries to capture small angles:

→ Transmission: particle like structures, like nanoparticles, polymers, superconducting flux line lattices, precipitates
 → Reflection: planar structures, like thin films, interfaces, multilayers, gratings, neutron guides, supermirrors









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How does a small angle experiment differ from a normal diffraction experiment?



MgO crystal as a

reflectometrist sees

ít

 $V_n(z) = \frac{2\pi\hbar^2}{m_n} \rho$ (assume V independent of x and y)

nucleus-neutron interaction (Born approximation):

$$\rho = \sum_i N_i \, b_i$$

 $V_n = \frac{2\pi\hbar^2}{m_n}b\delta(\mathbf{r})$ Point like

 N_i – number density for species i b_i – bound coherent scattering length for species i



How to calculate the SLD for crystalline materials.



Example MgO:

 $b_{Mg} = 5.38 \text{fm}$ $b_{O} = 5.80 \text{fm} \rightarrow b_{MgO} = 11.2 \text{ fm}$ f.u./unit cell = 4 $V_{unit cell} = a^3 = 4.22 Å^3 = 74.99 Å^3$ $N_{MgO} = \frac{4}{V_{unit cell}} = 0.053 \text{\AA}^{-3}$

$$\rho_{MgO} = N_{MgO} b_{MgO} = 5.96 \ 10^{-6} \text{\AA}^{-2}$$

formula units per unit cell:

- 1 simple
- 2 bcc
- 4 fcc
- 6 hcp

https://www.ncnr.nist.gov/resources/activation/ **F**ICSD http://icsd.cds.rsc.org/





How to calculate the SLD using the density.



$$\rho = \sum_{i} N_i b_i$$

N_i – number density for species i b_i – bound coherent scattering length for species i Example H₂O: $1fm = 10^{-5} \text{ Å}$ $b_{H} = -3.74 \text{ fm}$ $b_{O} = 5.80 \text{ fm} \rightarrow b_{H2O} = -1.68 \text{ fm}$ density = 1 g/cm³, m_{atomic, H2O} = 18 g/mol $N_{A} = 6.022 \times 10^{23} \text{ particles/mol}$ $1cm = 10^{8} \text{ Å}$, $1cm^{3} = 10^{24} \text{ Å}$ $N_{H2O} = \frac{density \times NA}{m_{atomic}} = \frac{1 \frac{g}{cm^{3}} \times 6.022 \times 1023 \frac{particles}{mol}}{18 \frac{g}{mol}}$ $= 0.033 \text{ Å}^{-3}$ $\rho_{H2O} = N_{H2O} b_{H2O} = -0.56 10^{-6} \text{\AA}^{-2}$





Having an SLD is not enough – you need contrast to see scattering. Contrast: changes in the SLD on the lenghtscale to which we are sensitive = differences in SLD.











Sí crystal – SLD

 $\rho = \sum_{i} N_i b_i$

N_i – number density for species i b_i – bound coherent scattering length for species i









Two possible scattering geometries to capture small angles:

→ Transmission:	particle like structures, like nanoparticles, polymers, superconducting flux line lattices, precipitates
\rightarrow Reflection:	planar structures, like thin films, interfaces, multilayers, gratings, neutron guides, supermirrors



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The neutron beam transmits through the sample and scatters away from the direct beam at small angles.



The principles of elastic (neutron) scattering apply also to small angle (neutron) scattering.



Small Angle Neutron Scattering is scattering from extended, nm-scale objects.

- → Scattering from extended objects. Maybe diffraction if there is a periodic arrangement.
- \rightarrow Form factor: information about scattering objects.
- \rightarrow Structure factor: information about arrangement of particles.



In dilute systems detector shows the fourier transform of your scattering objects (form factor).





Reciprocal space



This gives information about the shape and size of the scattering objects, or at least their rotational averages.







In dense or ordered systems a structure factor appears.



Ordered systems $\rightarrow P(q)S(q) \rightarrow$ Diffraction pattern



$$I(q) = \frac{d\Sigma}{d\Omega}(q) = \frac{X}{V} (\Delta \rho V_p)^2 P(q) S(q)$$

Cubitt et al., PRL **91**, 047002 (2003);Cubitt et al., PRL **91**, 157002 (2003)

L.B. Lurio et al. PRL 84, **785**, (2000)

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The scattered intensity is determined by the scattering cross section.



The scattering intensity is determined by: How many particles there are, how visible they are, the FT of the shape of the particle (form factor) and the FT of the arrangement of particles (structure factor).

X/V: Number density of
particles in the medium
(aka N)
$$I(q) = \frac{d\Sigma}{d\Omega}(q) = \frac{X}{V}(\Delta \rho V_p)^2 \frac{P(q)S(q)}{V(\Delta \rho V_p)^2}$$
Form factor

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Magnetic scattering depends on the relative orientation of **M**, **B** and **q**.



Polarised SANS – incoming beam polarised.

If magnetisation is saturated along external field axis and B perpendicular to neutron beam ($B_0 \perp$ neutron beam, M || B_0):

 \rightarrow Horizontal cut on the detector gives pure nuclear scattering:

$$I^{+} + I^{-} = \frac{dZ_{nuc}}{d\Omega}(q) + \frac{dZ_{mag}}{d\Omega} = 2FN^{2} + 2FM^{2} \langle \sin \varphi \rangle^{2}$$

 \rightarrow Nuclear magnetic interference term $I^+ - I^- = -2 FN FM \langle \sin \varphi \rangle$





F_N , F_M – nuclear and magnetic scattering amplitude

Enhances

magnetic

In the real world polarisation efficiency corrections are necessary – see reference. S Disch et al 2012 New J. Phys. **14** 013025

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The intensity on the detector is determined by the scattered intensity and the reality of the experimental setup.



Solid angle $\Delta\Omega$, *t* = sample thickness, *T* = sample transmission η = detector efficiency ...

In reality you will be interested only in the signal from your sample and therefore need to subtract the signal from the container (cell and solvent, nonmagnetic background etc...)

$$I_{sample}(\theta, \lambda) = I(\theta, \lambda) - Ico_{ntainer}$$



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Two possible scattering geometries to capture small angles:

\rightarrow Transmission:	particle like structures, like nanoparticles,
	polymers, superconducting nux menatices,
\rightarrow Reflection:	planar structures, like thin films, interfaces,
	multilayers, gratings, neutron guides,
	supermirrors





Reflectometry probes planar structures and buried interfaces through neutron interference.



The neutron beam is reflected from the surfaces and interfaces at shallow angles.





Near total reflection region scattering is not weak! Still need to work out solutions to time independent Schrödinger equation .





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Reflectivity is neutron interference from thin layers.





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Doing optics with neutrons.... Total reflection



Homogeneous medium: **refractive index n** For neutrons (and X-rays) typcially < 1

$$n=1 - \frac{\lambda^2}{2\pi}\rho - i\beta$$

Snell's law

$$\cos\theta_1 = \frac{k_1}{k_1}$$

 $\frac{\cos\theta_0}{\cos\theta_1} = \frac{k_1}{k_0} = \frac{n}{n_0} = n < 1$

Total external reflection: $\theta_1 = 0$ $\cos \theta_0 \le \cos \theta_c = n$



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 $Q_c = \frac{4\pi}{\lambda} \sin \theta_c = 4\sqrt{\pi\rho}$

Reflection and transmission of a neutron from a single interface is treated in like the quantum mechanical potential step/well problem (should be familiar to those who took undergraduate physics lectures*).

$$\frac{\hbar^{2}}{2m} \frac{d^{2}\psi(z)}{dz^{2}} + (E - V)\psi(z) = 0$$
Solution for SE is a wave: $\psi_{z} = A_{right} e^{ikz} + B_{left} e^{-ikz}$

$$\psi_{in} = e^{ik_{0}z}$$

$$\psi_{in} = e^{ik_{0}z}$$

$$\psi_{out} = r e^{-ik_{0}z}$$

$$\psi_{0ut} = r e^{-ik_{0}z}$$

$$\psi_{0ut$$

Solutions for the Schrödinger and Helmholtz equations give expression for r, t and refractive index.



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(Polarised) neutron travelling waves reflect from the various interfaces in a planar structure and the resulting interference pattern gives information of the (magnetic and) chemical depth profile in a planar structure (through the refractive index changes).



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The magnetic potential is determined by dipolar interaction between neutron magnetic moment and moments in the magnetic layer. Can be described by a magnetic scattering length density.



We need to talk about directions...

But this slide works without modification when the magnetisation and neutron spin are parallel and in the film plane



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The amplitude and type (SF or NSF) of magnetic scattering is determined by the relative directions of the neutron magnetic moment, magnetisation and momentum transfer q.



Magnetic scattering can be spin-flip or non spin-flip.



$$\mathbf{V}_{\mathrm{mag}} = - \overrightarrow{\mu_n} \, \overrightarrow{B}$$

Schrödinger equation now includes wave functions for neutron spin up and down and interaction includes spin operator

Szenarios:

- M collinear with neutron spin → two independent equations, no spin flip scattering (i.e. no spin-mixing terms), introduce effective magnetic scattering length (slide 38).
- M perpendicular to neutron spin \rightarrow two coupled equations for the two spin states containing spin mixing, spin flip scattering
- Measure four reflectivity components (spin in, spin out): (++), (+-), (-+), (--)



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Most common polarised neutron reflectometry setup, but the vectorial relations are valid also for other geometries.





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Magnetic interaction - summary.

- \rightarrow y and x components of magnetisation are separated out into non-spin flip and spin flip components.
- → Non-spin flip: Nuclear and magnetic scattering $V_{noflip} \sim (b_n \pm b_m \cos \gamma)$
- \rightarrow Spin flip: Magnetic scattering only $V_{flip} \sim b_m \sin \gamma$

 \rightarrow Specular reflectivity: R⁺⁻ = R⁻⁺



Reflectivity measures as a function of $q_z \rightarrow$ can NOT measure out of plane magnetisation!



$$q_c = 4\sqrt{\pi(\rho_{nuc} \pm \varrho_m)}$$



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Real samples are complicated!

- → Roughness is complicated, approximations are heuristic.
- → Depends on length scale.

Roughness is hard to describe!

- → Specular reflectometry does not distinguish between correlated and uncorrelated roughness. (but there may be a diffuse background present in the data).
- \rightarrow Thickness gradient: blurs Kiessig fringes, looks like a loss of (λ -)resolution



Multiply each layer transfer matrix with $e^{-k_{zj}k_{zj+1} < z > 2}$

assumes small, uncorrelated fluctuations



Graded interface:

Replace random z fluctuations with smoothly varying scattering length density profile. (Describe ρ variation with a function and split into many layers)





→ Background is usually measured simultaneously on the same detector

- \rightarrow Need to find suitable integration range for θ (can be tricky).
- → For polarised data polarisation efficency corrections also need to be applied.



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Question: How far do I need to move the detector from the sample to separate a 0.1deg scattering angle from the main beam?





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Then 22.5mm/distance = tan(0.1deg), the bare minimum distance is ~12.9m

How can I resolve smaller angles?



Question: How far do I need to move the detector from the sample to separate a 0.1deg scattering angle
from the main beam?Answer: That depends on the size of the main beam on the detector.Okay, the beam might be up to 45mm high.Then 22.5mm/distance = tan(0.1deg), the bare minimum distance is ~12.9mHow can I resolve smaller angles?With larger detector distancesYes, but only if the angular spread (aka angular divergence) of your beam is smaller than the scattering
angle. -> You need smaller angular divergence.







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Yes, but only if the angular spread (aka angular divergence) of your beam is smaller than the scattering angle. -> You need smaller angular divergence.

How do we limit the angular divergence?

Smaller apertures, move apertures further apart





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What if we need a larger q-range?

increase θ range

- more detector distances (standard monochromatic SANS):
- → advantage: well established, works for asymmetric data, great for Bragg peaks
- → disadvantage: slow to change configuration, not good for kinetics, resolution is not matched





more detectors:

 → advantage: large q-range, single λ (good for polarisation and polarisation analysis), kinetics, quite fast

 4π sin θ

→ disadvantage: resolution is not matched, not good for asymmetric data, instrument cal more complicated



What if we need a larger q-range?

increase λ range

TOF mode

- → advantage: very large simoultaneous q-range, kinetics
- → disadvantage: can be slow, data treatment complicated, q-range edges, inefficient for bragg peaks, H can be an issue

Only possible mode on spallation sources!





 $4\pi \sin\theta$

q

And how does a reflectometer look different?







Question: What beam shape would you use for a reflectometer?

Very collimated perpendicular to the sample surface, but can be large parallel to the sample \rightarrow letterbox

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And how does a reflectometer look different?





Question: TOF or Monochromatic? Both work, but the majority of reflectometers are now TOF (large simultaneous q-range often wins, less of a q-range edge problem, less H in the beam as samples thin)



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New ways do deal with real samples make use of modern area detectors to reconstruct reflectivity from wavy surfaces or large beams.

- ightarrow Roughness for NR is short scale
- → Long scale roughness (wavy, bent surfaces or facets) does not lose coherent information. (Provided thickness is constant).
- → Information can be retrieved with position sensitive detector and the right data treatment.







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d – d-spacing in sample

 θ – ½ scattering angle of neutron (total scattering angle = 2 θ)

k – neutron wavevector, $\vec{k_{in}}$ incoming wave vector, in vacuum $k_0 = \frac{2\pi}{\lambda}$

q – wave vector transfer, qc wave vector transfer at critical edge

 Ψ – neutron wavefunction

m, m_n – neutron mass

 μ_n – neutron magnetic moment

 ρ , $\rho_{nuc'}$, ρ_m – scattering length density (general, nuclear, magnetic)

R_g – radius of gyration (rotational average)

t – sample thickness, T – sample transmission

 $Q_{\rm HI}$ – Halpern-Johnson vector of magnetic interaction

b, \dot{b}_c – bound coherent nuclear scattering length

 b_m – magnetic scattering length, b_{m1} magnetic scattering length for magnetic moment of 1 μ_B

N – atomic density (number of atoms per volume), X - number of particles

E – energy of neutron

V, V_n – potential energy of neutron(V_{nuc} , V_{mag} nuclear and magnetic potential)

 $\delta(\pmb{r})$ – delta function

 $V_{unit cell}$ – unit cell volume

 η – detector efficiency

 λ – neutron wavelength

 ϕ – angle between external field axis and q (in the detector plane) relevant for magnetic SANS

 γ – angle between magnetisation in sample and q-vector, determines spin flip or non spin flip scattering

All vectors are written either in bold **v** or like this \vec{v} .

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Back to Schrödinger: determine the refractive index n...

$$\rightarrow$$
 In vacuum potential V = 0, energy of neutron is: E = $\frac{\hbar^2 k_0^2}{2m}$

$$\rightarrow$$
 In a medium: $V(z) = \frac{2\pi\hbar^2}{m}bN$

Time independent Schrödinger equation ↔ Helmholtz propagation equation

 $\frac{\hbar^2}{2m} \frac{d^2 \psi(z)}{dz^2} + (E - V)\psi(z) = 0 \qquad \frac{d^2 \psi}{dz^2} + k^2 \psi(z) = 0$

compare: $k^2 = \frac{2m}{\hbar^2} (E - V)$ use $n^2 = \frac{k^2}{k_0^{2}}, k_0 = \frac{2\pi}{\lambda}$

$$n^2 = 1 - \frac{\lambda^2}{\pi} \operatorname{Nb} \rightarrow n \approx 1 - \frac{\lambda^2}{2\pi} \rho$$



Christy's cat!



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General solution for SE: $\psi_z = A e^{ikz} + B e^{-ikz}$

$$\frac{\hbar^2}{2m} \frac{d^2 \psi(z)}{dz^2} + (E - V)\psi(z) = 0$$

 $A_0 = 1$

 $A_1 = t$

 $B_0 = r$

From previous slides: $k_z^2 = \frac{2m}{h^2} (E - V) = k_{0z}^2 - 4\pi\rho$ (remember k_{\parallel} does not change)

$$z < 0: \psi_z = e^{ik_{0zz}} + re^{-ik_{0zz}}$$

 $z > 0: \psi_z = te^{ik_z z}$

Boundary conditions: ψ_z and $\nabla \psi_z$ continuous at interface



For many interfaces the transmitted wave of the nth interface becomes the incoming wave of the n+1th interface but the formalism remains the same.





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