

Neutron Spectroscopy 2: high resolution spectrometers

Bernhard Frick Institut Laue Langevin, Grenoble



content

why do we need high energy resolution neutron spectroscopy?

- low energy transitions and QENS at low energy
- QENS: theory & models for confined and unconfined motion

how to achieve the best energy resolution

- in time-of-flight spectroscopy
- in crystal spectroscopy neutron spin echo (see C. Pappas)

examples of applications

- overview
- Ş low frequency inelastic examples
 - quantum tunnelling
- quasielastic examples
 - energy materials, confined molecules, water dynamics



the scattering process neutron-sample —

exchange of energy and momentum with the sample

$$\hbar\omega = E_1^S - E_0^S = E_i^n - E_f^n$$
$$\hbar \mathbf{Q} = \hbar (\mathbf{k}_i - \mathbf{k}_f)$$

$$Q^2 = k_i^2 + k_f^2 - 2k_i k_f \cos(2\theta)$$

$$Q^{2} = \frac{2m}{\hbar^{2}} \left(2E_{i} - \hbar\omega - 2E_{i}\sqrt{1 - \frac{\hbar\omega}{E_{i}}} \cdot \cos(2\theta) \right)$$



 \mathbf{k}_i

 2Θ

why do we need high E-resolution?





a simple definition of 'quasielastic'







fast moving scatterers, e.g. liquid



'quasielastic scattering' - an interpretation in terms of correlation functions

scattering function: $S(\mathbf{Q}, \omega) = \frac{4\pi}{\sigma} \frac{1}{N} \frac{\mathbf{k}_i}{\mathbf{k}_f} \frac{\partial^2 \sigma}{\partial \Omega \partial \omega}$

$$S(\mathbf{Q},\omega) = \frac{1}{2\pi} \int_{-\infty}^{\infty} I(\mathbf{Q},t) e^{-i\omega t} dt$$

intermediate scattering functions:

$$I_{d}(\mathbf{Q},t) = \frac{1}{N} \sum_{i=1}^{N} \sum_{j=1}^{N} \langle e^{-i\mathbf{Qr}_{i}(0)} e^{i\mathbf{Qr}_{j}(t)} \rangle$$
$$I_{s}(\mathbf{Q},t) = \frac{1}{N} \sum_{i=1}^{N} \langle e^{-i\mathbf{Qr}_{i}(0)} e^{i\mathbf{Qr}_{i}(t)} \rangle$$
incoherent

$$G(\mathbf{r},t) = \frac{1}{(2\pi)^3} \int_{-\infty}^{\infty} e^{-i(\mathbf{Qr}-\omega t)} I_{coh}(\mathbf{Q},t) d\mathbf{Q} d\omega$$
$$G_s(\mathbf{r},t) = \frac{1}{(2\pi)^3} \int_{-\infty}^{\infty} e^{-i(\mathbf{Qr}-\omega t)} I_{inc}(\mathbf{Q},t) d\mathbf{Q} d\omega$$

correlation functions

density correlation functions pair correlation function

e.g. T. Springer, 'Quasielastic Neutron Scattering for the Investigation of Diffusive Motions in Solids and Liquids', Springer Tracts in Modern Physics 64, 1972

for a **classical** system formulated in terms of a microscopic particle density function: ρ

$$\mathbf{p}(\mathbf{r},t) = \sum_{i=1}^{N} \delta[\mathbf{r} - \mathbf{r}_{i}(t)]$$

$$G(\mathbf{r},t) = \frac{1}{N} \int \langle \rho(\mathbf{r}' - \mathbf{r}, 0) \rho(\mathbf{r}', t) \rangle d\mathbf{r}'$$

i.e. auto-correlation function of the particle density

asymptotic behavi

totic behaviour:
$$G^{\infty}(\mathbf{r}) = \lim_{\mathbf{r},t\to\infty} G(\mathbf{r},t) = \frac{1}{N} \int \bar{\rho}(\mathbf{r}'-\mathbf{r})\bar{\rho}(\mathbf{r}')d\mathbf{r}$$

e.g. for liquid: $G^{\infty}(\mathbf{r}) = \bar{\rho} = \frac{N}{N}$



density correlation functions self correlation function

 $p_{i}(\mathbf{r}') = <\delta[\mathbf{r}' - \mathbf{r}_{i}(t)] >$ for a **classical** system define average **probability per volume** to find particle i at r':

asymptotic behaviour:

$$G_{s}^{\infty}(\mathbf{r}) = \frac{1}{N} \sum_{i=1}^{N} \int p_{i}(\mathbf{r}' - \mathbf{r}) p_{i}(\mathbf{r}') d\mathbf{r}'$$
$$\lim_{t \to \infty} G_{s}^{\infty}(\mathbf{r}, t) = \frac{1}{V} \qquad \text{thus:} \qquad \begin{array}{l} G_{s}^{\infty}(\mathbf{r}) \to 0 & \text{for} \quad V \to \\ & \text{but not if V is finite; EISF!} \end{array}$$

liquid:

assume identical scattering particles (drop index i)

$$G_s^{\infty}(\mathbf{r}) = \int p(\mathbf{r}' - \mathbf{r}) p_{(\mathbf{r}')} d\mathbf{r}'$$

G

and separate G_s in decaying and stationary part:

 ∞

$$G'_{s}(\mathbf{r},t) = G_{s}(\mathbf{r},t) - G_{s}^{\infty}(\mathbf{r})$$

elastic incoherent structure factor (EISF):



self correlation function - EISF



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typical incoherent scattering law

$$S_{inc}(\mathbf{Q},\omega) = A_0(\mathbf{Q})\delta(\omega) + (1 - A_0(\mathbf{Q}))\mathbf{L}(\mathbf{Q},\omega)$$

elastic, EISF stationary part; sign of confined motion quasi-elastic decaying part

for a given Q:
$$\int_{-\infty}^{\infty} S_{inc}(\mathbf{Q}, \omega) d\omega = 1$$

$$EISF = \frac{S_{inc}^{el}(\mathbf{Q})}{S_{inc}^{el}(\mathbf{Q}) + S_{inc}^{qel}(\mathbf{Q})}$$

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example for a simple incoherent scattering law isotropic translational diffusion

no stationary part -> not confined -> no elastic scattering

time space

$$S_{inc}(\mathbf{Q},t) = \exp(-DQ^2t)$$

relaxation rate $|\tau| = 1/(DQ^2)$

energy space $S_{inc}(Q,\omega) = \frac{1}{\pi} \frac{DQ^2}{\omega^2 + (DQ^2)^2}$

Lorentzian with energy width $HWHM = \Gamma/2 = \hbar DQ^2$

<u>D=self diffusion constant [m²/s]</u>



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other diffusion models at high Q deviations from 'normal' low-Q translational diffusion (Fick's law)



simple incoherent scattering law: - locally confined jump models

see e.g.: - M. Bée, 'Quasielastic Neutron Scattering'

stationary & decaying part -> confined -> elastic scattering

local motions -> Q-independent linewidth & EISF

jumps between 2,3,4,...n (non-)equivalent sites

rotational diffusion on a circle

diffusion on a sphere

diffusion inside a sphere, cylinder,...

simple incoherent scattering law:

- two site jump among equivalent sites

stationary & decaying part -> confined -> elastic scattering $p_1(t)$

$$I(\mathbf{Q},t) = A_0(\mathbf{Q}) + A_1(\mathbf{Q})exp(-\frac{2t}{\tau})$$

$$S_{inc}(\mathbf{Q},\omega) = A_0(\mathbf{Q})\delta(\omega) + A_1(\mathbf{Q})\frac{1}{\pi}\frac{2\tau}{4+\omega^2\tau^2}$$

structure factors for a two-site jump over distance r; powder averaged:

EISF:
$$A_0(Q) = [1 + j_0(Qr)]/2$$

 $A_1(Q) = [1 - j_0(Qr)]/2$
 j_0 = 0-th order spherical Besselfct.

0

see e.g. M. Bée, 'Quasielastic Neutron Scattering'

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r

 $p_{2}(t)$

simple incoherent scattering law: - free diffusion inside a sphere

stationary & decaying part -> confined -> elastic scattering

$$S(q, \omega) = A_0^0(q) \,\delta(\omega) + \frac{1}{\pi} \sum_{\ell, n} (2\ell + 1) A_n^\ell(q) \frac{(x_n^\ell)^2 D/R^2}{\left[(x_n^\ell)^2 D/R^2 \right]^2 + \omega^2}$$

$$A_0(Q) = (\frac{3j_1(Qa)}{Qa})^2 \quad \text{and} \quad j_1(x) = \frac{\sin(x)}{x^2} - \frac{\cos(x)}{x}$$

spherical Besselfct, of 1st order

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 $A_{n}^{P}(Q_{\alpha})$ A_{α}^{O} A_{α}^{O}

Figure 1. Variation of the first incoherent structure factors $A_n^l(Q)$ versus Qa (31-32) for diffusion inside an impermeable sphere of radius a. The elastic incoherent structure factor (EISF) is $A_0^0(Q)$.

Volino F., Dianoux A.J., Mol. Phys. 41, 271-279 (1980)



Figure 2. Variation of the half width at half maximum (HWHM) of the broadened component of the scattering law for diffusion inside a sphere of radius a, (33), expressed in D/a^2 energy units, versus $(Qa)^2$. The HWHM of the DQ^2 law is also represented. In the present units, its value is $(Qa)^2$.

more realistic scattering laws:

see e.g. M. Bée, 'Quasielastic Neutron Scattering Principles and Applications in Solid State Chemistry, Biology and Material Science', Adam Hilger, Bristol 1988

take convolution with resolution fct. into account

Combination of scattering laws, e.g.:

whole molecule rotation

- + part of molecule rotation (methyl group)
- + c.o.m.-diffusion of whole molecule
- + vibrations, phonons

why do we need high energy resolution neutron spectroscopy?

- because of its suitable time resolution for some dynamic phenomena at the proper length scale
- because it is either the unique method or complementary to other spectroscopic techniques (time & spatial probe)
- to investigate the character of diffusive versus confined motion

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how to achieve the best energy resolution

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- TOF: we deduce the energy transfer from the flight time t over a distance L: $t = L/v_n$
 - therefore we have to know precisely L and v_n
- we count the neutrons at the detector as a function of their arrival time with respect to elastically scattered neutrons $\Delta t = t - t_{el}$

TOF-spectroscopy

- direct TOF - k_i fixed multi-chopper instrument



The initial neutron velocity v_n^0 is determined by a multichopper system in the primary spectrometer, which transmits monochromatic short pulses with start time t₀ and prevents frame-overlap of scattered neutrons.

TOF-spectroscopy - inverted TOF - k_f fixed



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neutrons with an initial velocity distribution $p(v^0_n)$ start at time t_0 , defined by a pulse chopper. The final neutron velocity is known because a k_f -filter /analyser allows only monochromatic neutrons to reach the detector.

TOF-resolution

- some comments how to achieve high E-resolution
- precise knowledge of the start time of neutrons implies short pulses: (fast choppers with narrow slits; multi-choppers, counter rotating...)
- the art is to keep flight time uncertainties $\Delta t/t$ small
 - minimise path length in sample & detector,
 - choose long total flight path to reduce the relative weight of other unavoidable length uncertainties ($\Delta t/t \sim \Delta L/L$)
 - = use long wavelength / slow neutrons but this will limit the maximum Q ($Q_{elastic}=4\pi/\lambda \sin(\Theta)$)



The new IN5 with Position Sensitive Detectors



- 1) Main TOF chamber access gate
- 2) Radiological shielding
- 3) Monochromator choppers
- Frame overlap & anti-contaminant order 4) choppers
- Focusing guide 5)
- 6) Sample environment lift system
- Sample chamber 7)
- 8) Position sensitive detectors
- Background shielding 9)
- 10) Background shielding frame
- 11) Time-of-flight chamber
- 12) Instrument recess

IN5B - position sensitive detector array



content

Why do we need high resolution neutron spectroscopy?

how to achieve the best energy resolution

in time-of-flight spectroscopy (some comments only > K.Anderson) in crystal spectroscopy in neutron spin echo (C. Pappas)

examples of applications

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energy resolution from a perfect crystal



reciprocal space representation *in:* perfectly collimated white beam *out:* perfectly monochromatic beam

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energy resolution from a perfect crystal



reciprocal space representation in: wide collimation and white beam out: divergent and polychromatic beam intensity proportional phase space element. Thus the better the energy resolution, the lower the intensity energy resolution & divergence are coupled and depend on Θ

energy resolution and divergence



reflectivity of perfect crystals in dynamic scattering theory



• primary extinction: interaction and coherence effects between incoming and outgoing beam lead to finite resolution



 $\Delta \tau$ is not zero - even for a perfect crystal

how large is the primary extinction of a perfect backscattering crystal?



Typical neutron backscattering monochromators:

crystal plane	$\Delta \tau / \tau$ (10-5)	ΔE_{ext} (μeV)	$\lambda(\text{\AA})$ for $\Theta=90^{\circ}$
Si(111)	1.86	0.077	6.2708
Si(311)	0.51	0.077	3.2748
CaF ₂ (111)	1.52	0.063	6.307
CaF ₂ (422)	0.54	0.177	2.23
GaAs(400)	0.75	0.153	2.8269
GaAs(200)	0.157	0.008	5.6537
Graphite(002)	12	0.44	6.70

http://www.ill.eu/in16/bs-web-site/

Senergy resolution independent of order of reflection (for same F) Solution that the set of the set extremely small crystal contribution Sother resolution contributions for TOF or 3X-spectrometers much larger)

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how to scan the incident energy and remain in backscattering?



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how to scan the incident energy and remain in backscattering?

2) Change of the monochromator temperature = change of lattice distance :



How to change k_i in backscattering Doppler- and heated monochromators



heated monochromator IN13, IN10B



 $\frac{d(T) = d_0 (1 + \alpha T + 0(2)...)}{\alpha = \text{thermal expansion}} \qquad \frac{\delta E}{E_i} = \frac{\delta d(T)}{d_0}$

reactor backscattering instruments - generic primary spectrometer concepts



backscattering instruments - secondary spectrometers are similar on reactors and spallation sources

 \bigcirc k_i scanned (v_D or T_M)

 \bigcirc k_f fixed (static and T_A=T_M)

gate detector to avoid
 counting neutrons scattered
 directly into the detector
 (several orders of
 magnitude stronger signal)
 -> introduce a 50%-chopper
 and count at arrival time of
 analyzed neutrons



http://www.ill.eu/in16/

INI6 - cold neutron backscattering instrument at ILL

(And

backscattering spectrometers



Backscattering spectrometers at spallation sources

combine TOF and backscattering



IRIS at ISIS, RAL, GB the "mother" of spallation source backscattering



BASIS-SNS backscattering spectrometer: the youngest



Moderator

Beam line Source-sample Sample-analyzer cr Analyzer crystal-det

Elastic energy Band width **Resolution** (elas Q-range (elastic Solid angle

spallation backscattering instruments OSIRIS, (IRIS) -> FIRES - ISIS**BASIS – SNS DNA** – JPARC

supercritical hydroger

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scientific fields for high resolution spectroscopy

• low frequency inelastic spectroscopy

- tunneling spectroscopy (<u>CH3</u>, NH4, ...)
- hyperfine interaction
- low frequency vibrations: aerogels & fractons
- ^a ⁴He excitation spectrum (roton)
- magnetic excitations (i.e. molecular magnets)

scientific fields for high resolution spectroscopy

quasielastic

Diffusion and <u>self-diffusion</u> in metals, alloys, intercalation compounds

Diffusion of hydrogen

- ▶ in metals or alloys (e.g. for <u>H-storage materials</u>)
- If the full of the full of the second sec
- materials for fuel cell electrodes
- Diffusion of molecules in catalytic materials

Dynamics in polymers

- Iocal motions of main chain and side chains
- polyelectrolytes
- dendrimers
- changes of dynamics under stretching (orientation dependent studies)
- ▶ effect of fillers in polymers (carbon black, silica, C₆₀,..)
- thin polymer films
- polymer blends
- nanocomposites



quasielastic spectroscopy (cont.)

more soft matter

microemulsion freezing phenomena

Dynamics of biological or bio-related molecules

- dynamical transition (glass like transition?)
- relation between local mobility and function / stability
- role of water in bio-materials: hydration water / confined water
- biological membranes
- natural materials (spider silk,)
- pharmaceutical systems
 - potential drug delivery systems
 - In functional molecules
 - relation of local mobility to storage and stability

Dynamics near the glass transition and in undercooled liquids

- mode coupling theory
- ▶ P, T dependence of local dynamics near Tg
- supercooled water, strong-to-fragile transition
- Dynamics of orientational glasses
- Atomic and molecular motion on surfaces

quasielastic spectroscopy (cont.)

Dynamics in confinement (nano-confinement in porous materials, nanotubes,...)

- ▶ small molecules in confinement (<u>clathrates</u>,...)
- water, aqueous ionic solutions, mixtures in confinement
- water in geological materials, concrete, clays
- surface water
- glass forming liquids in confinement
- Iiquid crystals in confinement

Dynamics of liquids

- highly viscous liquids (oils,..)
- ionic liquids, ionomers
- Iquids under shear
- Dynamics of liquid crystals
- Dynamics of hydrogen bonds
- Rotations of large or hindered molecules
- Dynamics of the phase transition, critical scattering
- •Magnetism
 - spin glasses and frustrated magnets
 - spin ice

rotational tunnelling



Rotational Tunneling and Neutron Spectroscopy: A Compilation

M. Prager Institut für Festkörperforschung des Forschungszentrums Jülich, D-52425 Jülich, FRG

A. Heidemann Institut Laue-Langevin, B.P. 156, F-38042 Grenoble, France

1d rotor: e.g. **CH**₃ 1 tunnel transition doublet ground-state (A,E)



3d rotors: e.g. **CH**₄, **NH**₄ more complex tunneling spectra 5 sub-states (A,3T,E)



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FOR SCIENCE

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methyl group tunneling

- example for high energy resolution on IN16 (FWHM~0.3µeV)



a motivation example e.g. **hydrogen economy**

important fundamental science questions to solve:

sustainable hydrogen production

dynamics of new materials

- new hydrogen storage materials

aim storage: >9% by weight; reversible absorption metal hydrates (LaNi5,Mg2Ni,MgH2); BH3NH3, LiBH4, Mg(BH4)2, Ca(BH4)2 understand diffusion

 σ_{inc} < 5barn σ_{inc} = 81barn

Η

others

- more efficient fuel cells

better Proton Exchange Membranes (H, methanol, formic acid, phosphoric acid) understand diffusion of H or H-containing chem.units



Light Weight Hydrogen Storage Materials

- e.g.: TOF and Backscattering on Alkaline-TetraHydroBorates



LiBH₄

Remhof et all

1.00

0.80

0.60

Verdal et al. Reorientational Dynamics of NaBH4 and KBH4. J. Phys. Chem. C , 114 (2010) 10027-10033 Verdal et al. Reorientational Dynamics of the Dodecahydro-closo-dodecaborate Anion in Cs2B12H12. J Phys Chem A, 115 (2011) 2933-2938 Remhof et al. Rotational Diffusion in NaBH4. J Phys Chem C, 113 (2009) 16834-16837 Remhof et al. Rotational motion of BH4 units in MBH4 (M=Li,Na,K) from quasielastic neutron scattering and density functional calculations. Physical Review B, 81 (2010) 214304

Martelli et al. Rotational Motion in LiBH4/Lil Solid Solutions. J Phys Chem A, 115 (2011) 5329-5334



determine rotational dynamics of hydrogen at low

temperature: geometry, time scale and activation energy

 \propto modeling of EISF up to high Q could discriminated between models (Verdal et al.)

determine H-jump dynamics of

hydrogen at high temperature:

combination with DensityFunctionalTheory-calculations (Remhof et al.



Dynamics of Methyl Iodide Clathrate Hydrate - **QENS experiment and MD simulation**

A. Desmedt, J.C. Soetens, M. Prager, M. Russina, and J. Ollivier, J. Phys. Chem. C, 115 (2011)

complex dynamics extending over wide

time range:

- water / cage dynamics
- methyl group rotation / tunneling
- translation & rattling in the cage
- whole molecule rotation in the cage
- deuterated water to increase contrast for confined molecules and reduce cage scattering
- qualitative evaluation with simple model detailed comparison of MD trajectories with experiment



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Dynamics of Methyl Iodide Clathrate Hydrate - QENS experiment and MD simulation



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recent example: Doster et al. Dynamical Transition of Protein-Hydration Water. Physical Review Letters (2010) vol. 104 (9) pp. 098101



postulate:

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no anomaly; fit-procedure may explain cross-over;



 10^{3} 240 K 230 K 250 K Intensity (a.u) $Q = 0.9 \text{ Å}^{-1}$ $Q = 0.9 Å^{-1}$ $Q = 0.9 Å^{-1}$ water confined in nanoporous Carbon 10⁰ **BASIS, SNS** 10^{3} 210 K 200 K 220 K $Q = 0.9 \text{ Å}^{-1}$ $Q = 0.9 Å^{-1}$ $Q = 0.9 Å^{-1}$ ntensity (a.u) 10² 10¹ 10⁰ 10^{3} 180 K 170 K 190 K Intensity (a.u) Q = 0.9 Å-1 $Q = 0.9 \text{ Å}^{-1}$ Q = 0.9 Å 10^{0} 50 50 -50 -50 -50 50

ħω (μeV)

fit with a stretched exponential function

$$S_{\text{QENS}}(E) = A \int_0^\infty \exp\left[-\left(\frac{t}{\tau}\right)^\beta\right] \exp\left(i\frac{E}{\hbar}t\right) dt$$

convoluted with the resolution function

ħω (ueV)

ħω (ueV)

most recent

S. M. Chathoth I (a), E. Mamontov I, A. I. Kolesnikov I, Y. Gogotsi2 and D. J. Wesolowski Eur. Phys. Lett. 95 (2011) 56001



crossover from Vogel-Fulcher at high temperature to Arrhenius at low temperature

$$\tau = \tau_0 \exp[DT_0/(T - T_0)] \qquad \qquad \tau = \tau_1 \exp(E_A/RT)$$

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back

some literature:

books on QENS:

T. Springer, 'Quasielastic Neutron Scattering for the Investigation of Diffusive Motions in Solids and Liquids'

Springer Tracts in Modern Physics 64, 197, Springer-Verlag 197

M. Bée, 'Quasielastic Neutron Scattering Principles and Applications in Solid State Chemistry, Biology and Material Science Adam Hilger, Bristol 1988

. Hempelmann, 'Quasielastic Neutron Scattering and Solid State Diffusion', Oxford Series on Neutron Scattering in Condensed Matter 13, Claredon Press, Oxford 2000

general books on n-scattering:

G.L. Squires, 'Thermal Neutron Scattering', Cambridge University Press 1978 S.W. Lovesey, 'Theory of Neutron Scattering from Condensed Matter', Claredon Press, Oxford 1987

references on QENS scattering techniques:

<u>BSS</u>: The Backscattering Web-site: A. Heidemann, B.F., <u>http://www.ill.eu/other_sites/BS-review/index.htm</u>; B.Frick, "Neutron Backscattering" in 'Neutron and X-Ray Spectroscopy, Springer, 2006 <u>General n-Instrumentation</u>: e.g. H. Schober. Neutron Scattering Instrumentation. Neutron Applications in Earth, Energy and Environmental Sciences (2009) pp. 37-104

references on special topics:

diffusion in zeolites: H. Jobic, Theodorou, Microporous and Mesoporous Materials 102 (2007) 212 confinement studies: e.g. 'Int. Workshop on Dynamics in Confinement', Eur. Phys. J. - ST 141 (2007) & 189 (2010)

Thank You for Attention

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