

# Neutrons and computational techniques

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***Computing for Science***

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# Aims

- Neutrons and atomistic simulations – why ?
- Potential Energy – how to calculate it
- Potential Energy Surface (PES) – how to explore it
  - Single point energy (SPE) calculations
  - Geometry optimisation (GO)
  - Lattice dynamics (LD)
  - Molecular dynamics (MD)

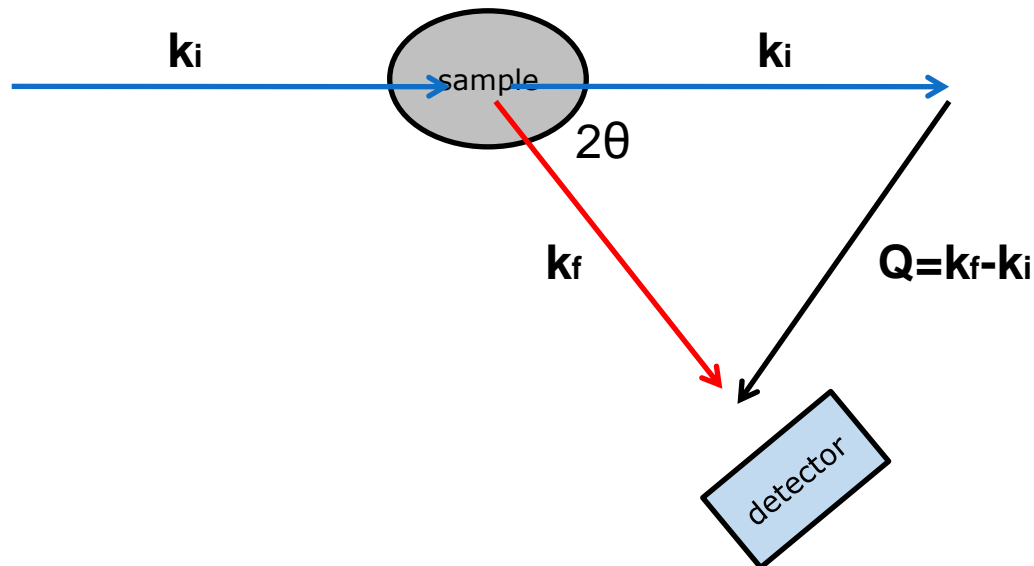
# The neutron



- Short range interaction with nuclei → no atomic form factor, quantified by scattering length  $b$
- *Neutron energy ( $E$ ): 1 – 1000 meV*
- Neutron wavelength ( $\lambda$ ): 0.5 – 25 Å ( $E \approx 81 / \lambda^2$ )
- *Neutron magnetic moment probes magnetic structure and excitations of unpaired electrons in matter*

# Neutron scattering triangle

- Elastic scattering:  $k_i = k_f$ , ( $k = 2\pi/\lambda$ ),  $E_i = E_f$
- Quasielastic scattering :  $k_i \approx k_f$ ,  $E_i \approx E_f$
- Inelastic scattering :  $k_i \neq k_f$ ,  $E_i \neq E_f$



# Double differential cross-section

$$\frac{d^2\sigma}{d\Omega dE_f} = \frac{k_f}{k_i} N \frac{\sigma_t}{4\pi} S(\vec{Q}, \omega),$$

## General scattering function

$$S(\vec{Q}, \omega) = \frac{1}{2\pi\hbar N \langle \bar{b}^2 \rangle} \int_{-\infty}^{\infty} dt e^{-i\omega t} \sum_{k,l} \overline{b_k b_l} \langle e^{-i\vec{Q}\cdot\vec{r}_k} e^{i\vec{Q}\cdot\vec{r}_l(t)} \rangle$$

$r(t)$ : atom trajectories from Molecular Dynamics

For small amplitude motion – vibrations:  $r=r^0+\delta r(t)$

$$S(\vec{Q}, \omega) = \frac{1}{2N \langle \bar{b}^2 \rangle} \sum_j \frac{|F_j(\vec{Q})|^2}{\omega_j} [(n_j + 1)\delta(\omega - \omega_j) + n_j\delta(\omega + \omega_j)]$$

$$|F_j(\vec{Q})|^2 = \sum_{k,l}^N \frac{\overline{b_k b_l}}{\sqrt{m_k m_l}} e^{-W_k(\vec{Q}) - W_l(\vec{Q})} (\vec{Q} \cdot \vec{e}_j(k)) (\vec{Q} \cdot \vec{e}_j(l)) e^{i\vec{Q} \cdot (\vec{r}_k^0 - \vec{r}_l^0)}.$$

$\omega_j$  and  $e_j$  from Lattice Dynamics

# Characteristics of NS experiments

- Probe nuclear (not electron) positions
- *In  $Q$  and  $\omega$  (or  $t$ ) space*
- Time ( $<1\mu\text{s}$ ) and length ( $<100\text{nm}$ ) scales
- *Measure 'directly' structure, diffusion and excitations*
- No selection rules (cf. IR/Raman)
- *Neutron magnetic moment probes magnetic order and excitations*

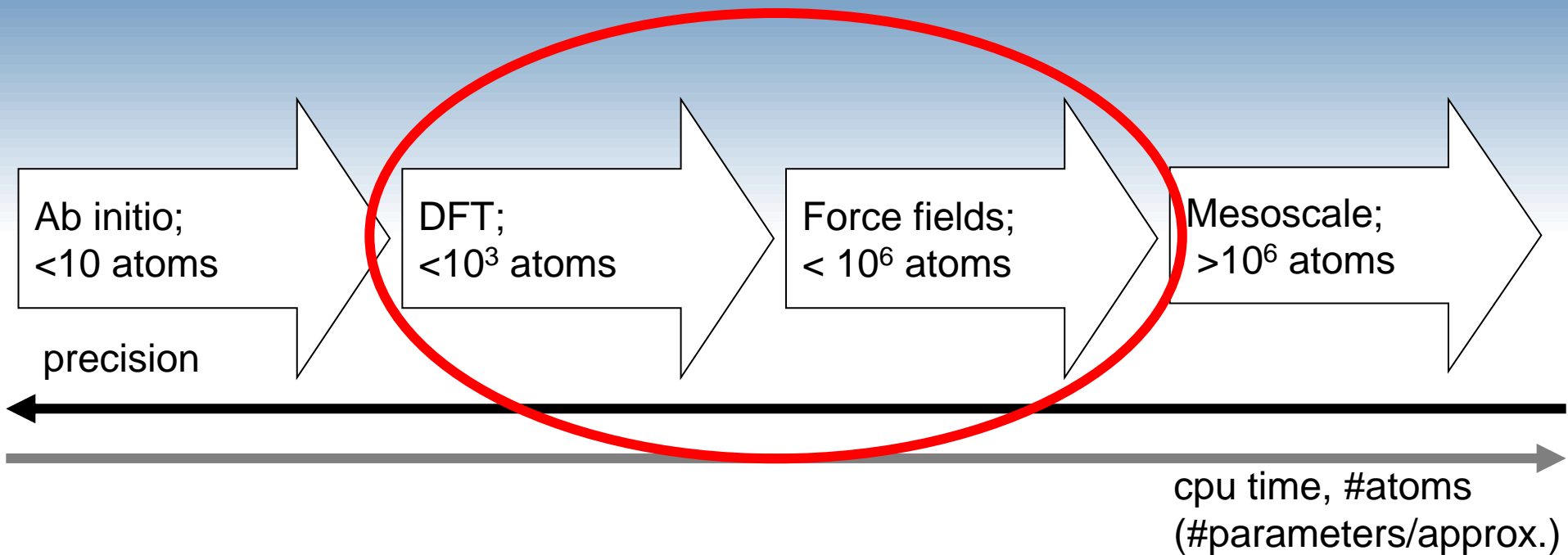
# Characteristics of atomistic simulations

- Can simulate nuclei and electrons or just nuclei
- *In real space  $(r, t)$ :  $FT \rightarrow (Q, \omega)$*
- Time ( $<1\mu\text{s}$ ) and length ( $<100\text{nm}$ ) scales match NS experiments
- *Calculate structure, diffusion and excitations*
- Electronic calculations probe magnetic order and excitations



# Calculating energy (and forces)

# Methods of potential energy calculation



Nobel prizes for DFT (1998) and classical MD (2013)

# Density functional theory

- *Density Functional Theory (Hohenberg, Kohn, Sham)*
  - $E_{ks}[\rho(x)] = T[\rho(x)] + E_{es}[\rho(x)] + E_{ext}[\rho(x)] + E_{xc}[\rho(x)]$
  - where  $\rho(x) = (\Psi \Psi^*)$  is position-dependent, electron density ( $\rightarrow$  linear scaling)
  - $\rho(x)$  constructed from localised orbitals (H-atom or Gaussian) or plane waves for periodic systems
  - But  $E_{xc}$  unknown - LDA, GGA functionals
  - Corrections for long-range dispersive (VDW) interactions
  - Scaling:  $N$  (linear)  $\rightarrow N^2 - N^3$
- Practical limits:
  - $\sim 500$  atoms for one calculation, 200-300 atoms if many calculations have to be performed
  - Timescale:  $\sim 50$  ps from  $\sim 20\ 000$  simulation steps

# Classical force field methods

$$E =$$

$$K_s(l - l_0) +$$

$$K_b(\theta - \theta_0) +$$

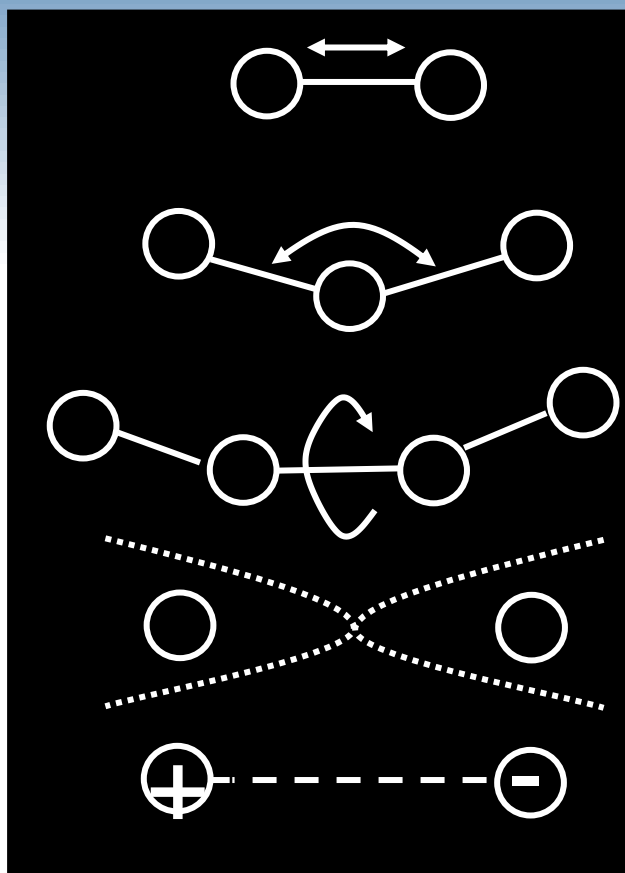
$$K_t(\omega - \omega_0) +$$

(cross terms +)

$$a/r^{12} - b/r^6 +$$

$$q_i q_j / D r (+$$

hydrogen bonds etc)

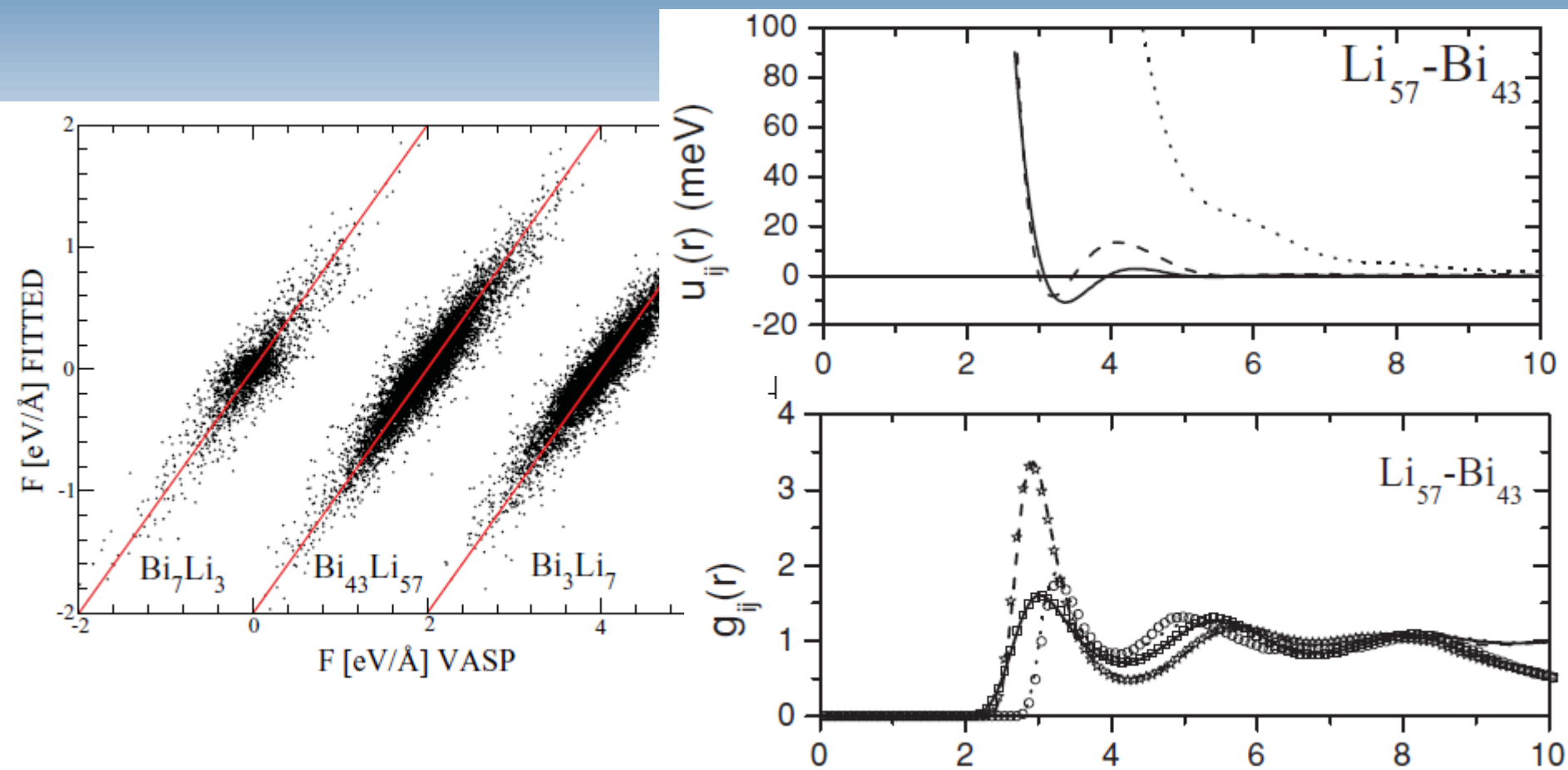


Practical limits:

<  $10^6$  atoms  
 depending on  
 number of  
 simulations  
 (composition, P,  
 T, ...)

Timescale: 100  
 ns on  $10^5$  atoms

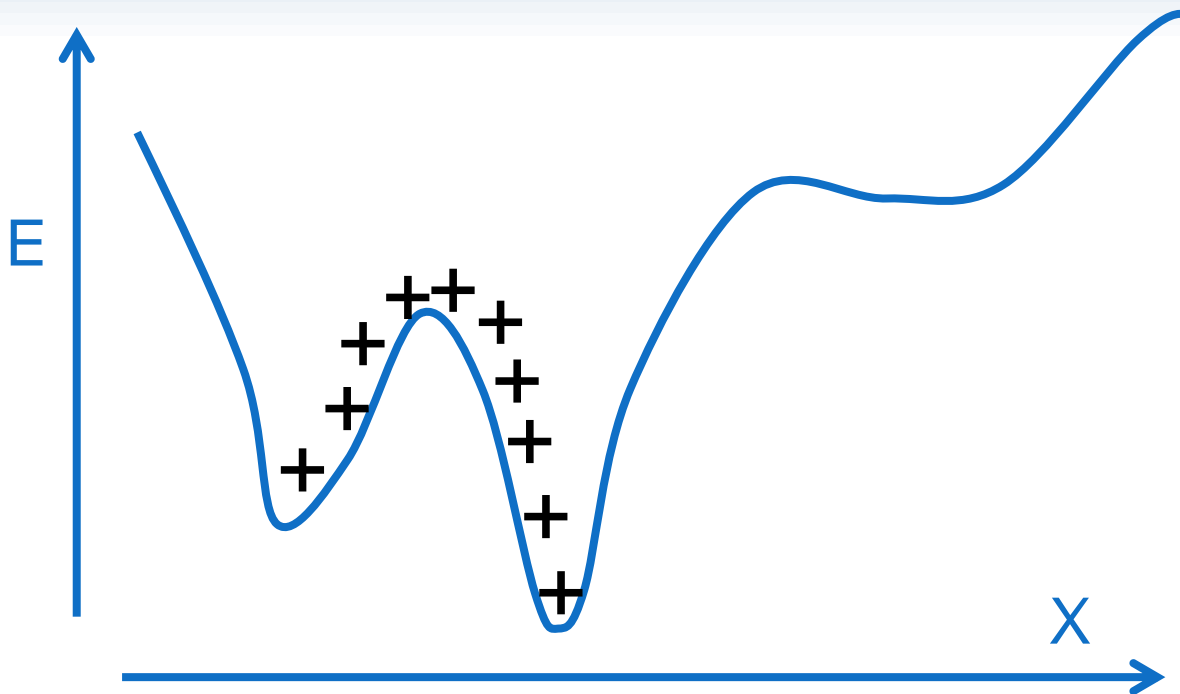
# Determining empirical potentials (force matching)



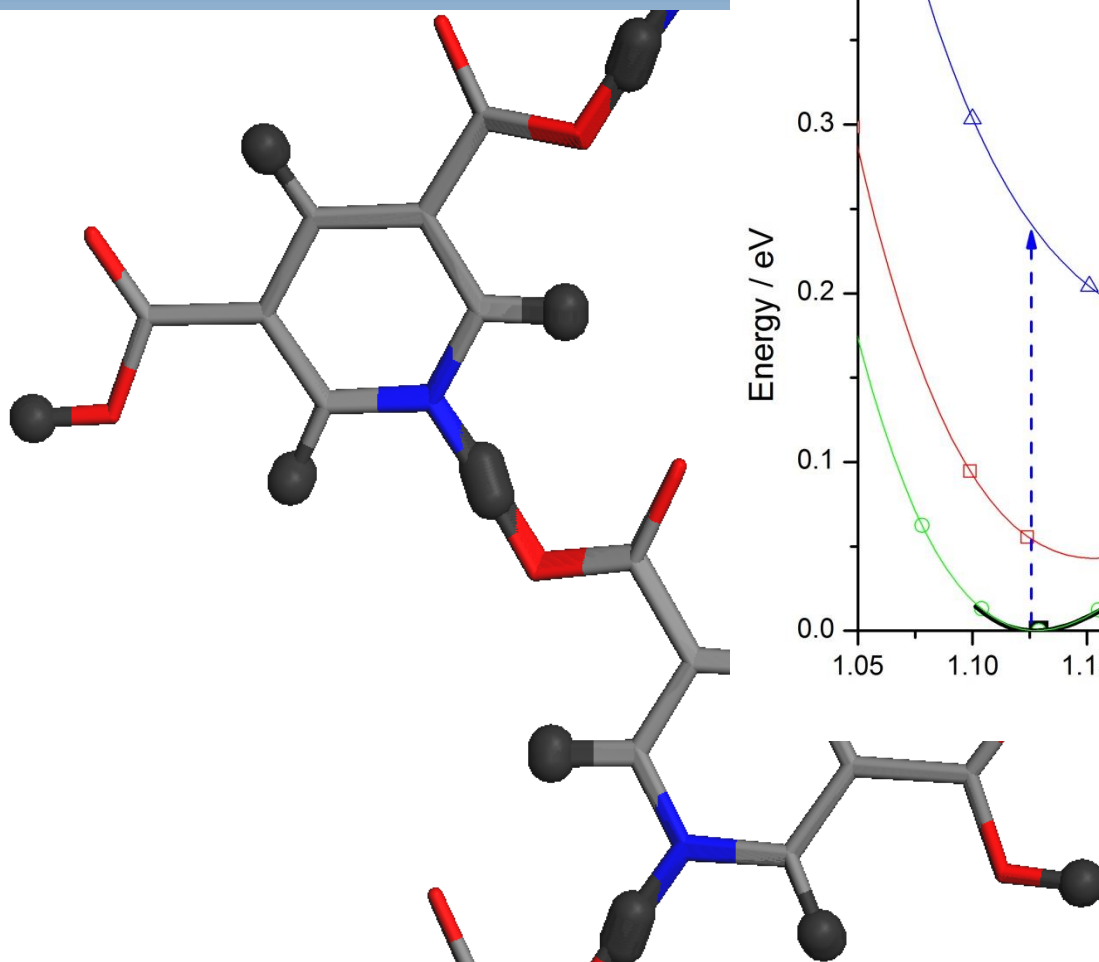
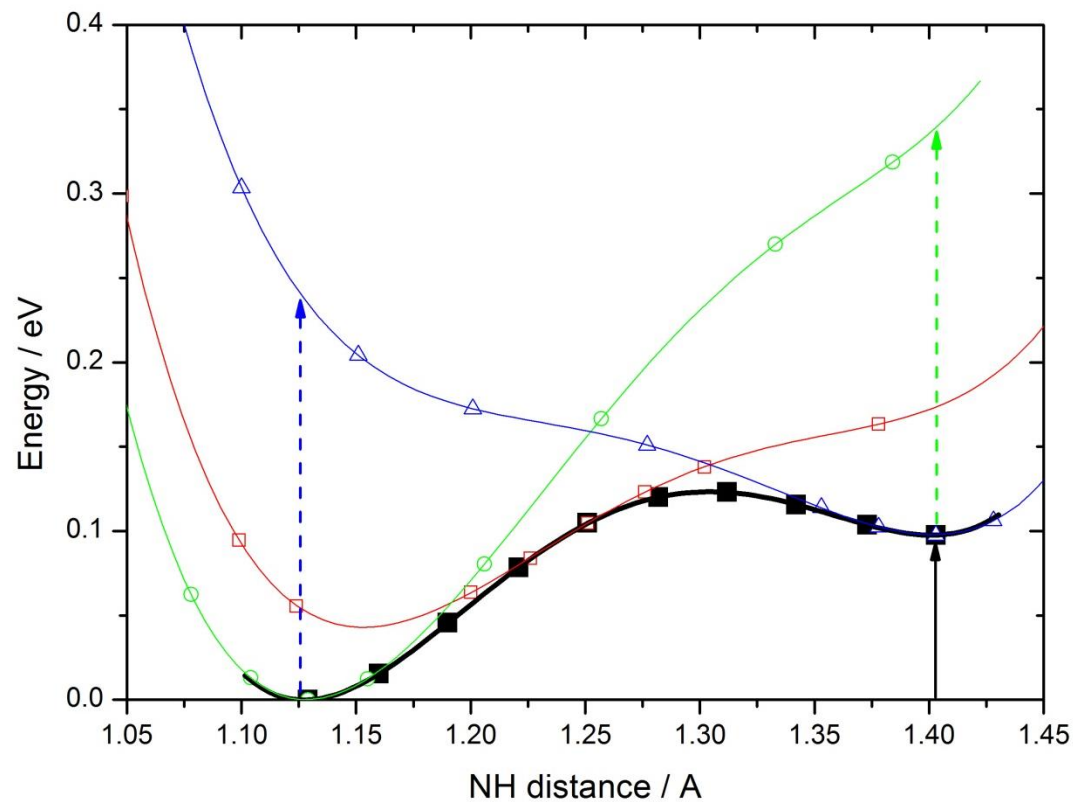
# Simulation methods – Exploring the Potential Energy Surface

# Potential energy surface

- Energy calculations and mapping

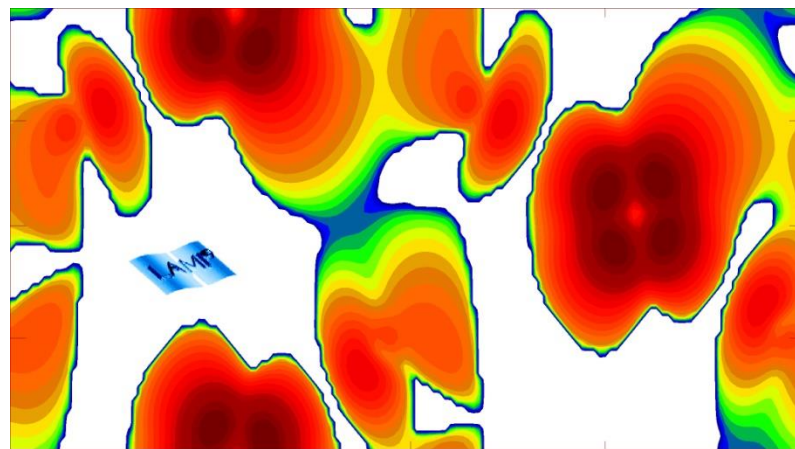
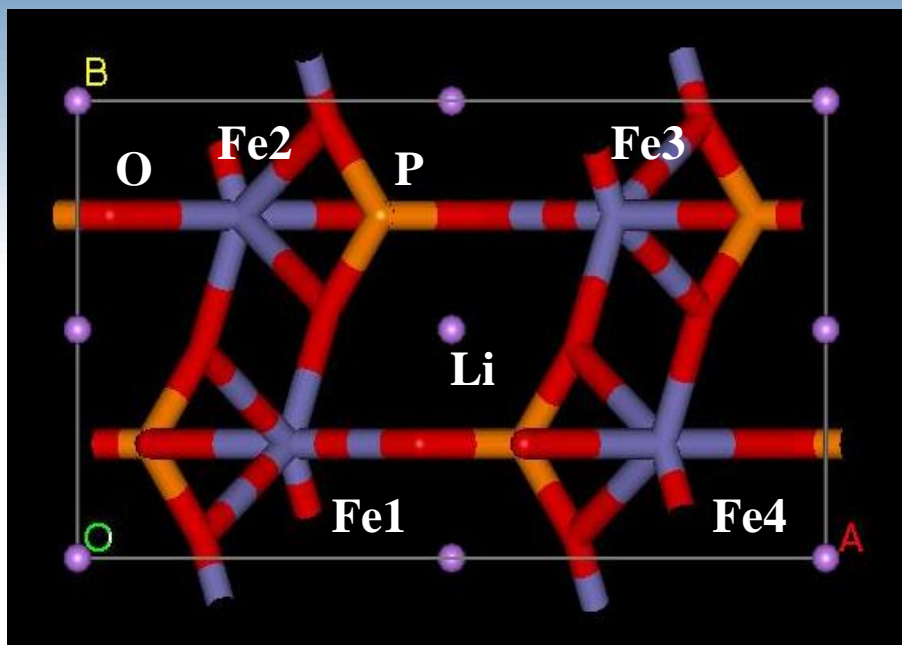


# Mapping the PES – PDCA



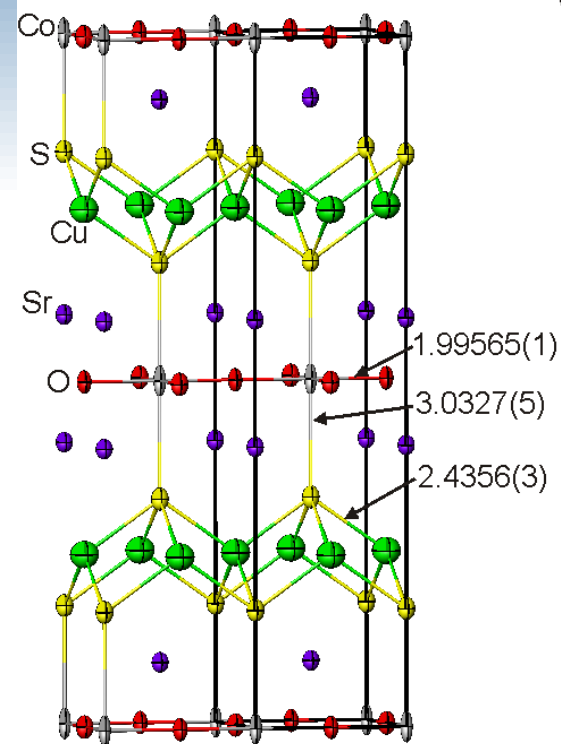
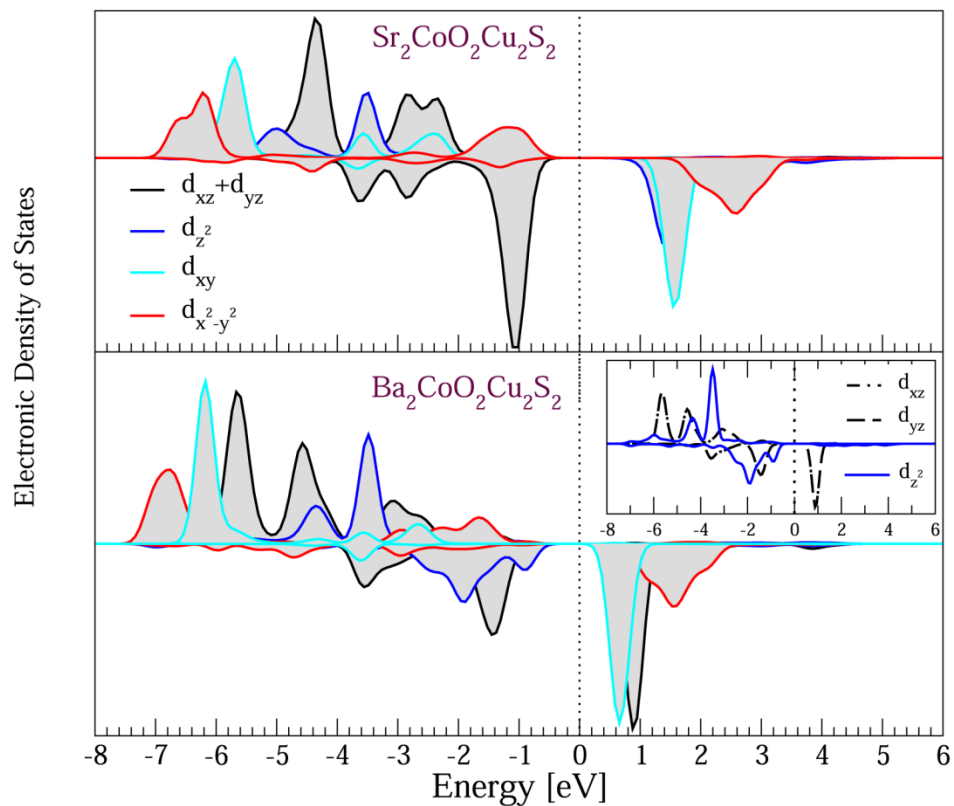


# Ab initio energy calculation



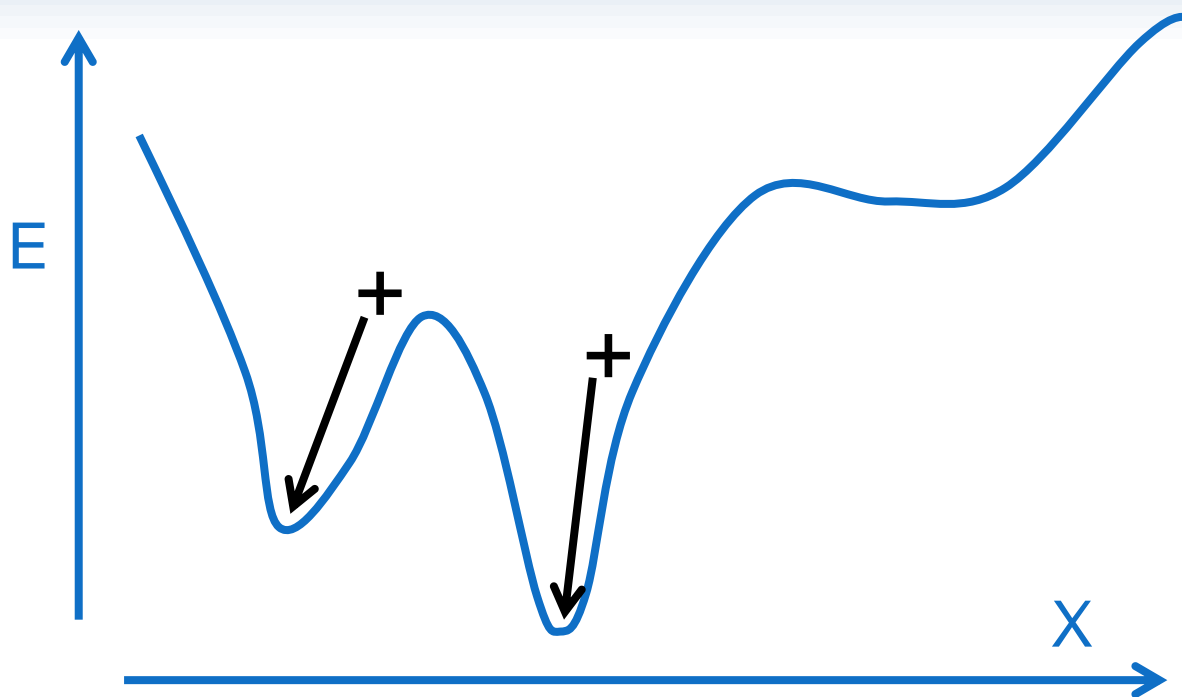
Spin density of  $\text{LiFePO}_4$  in the a-c plane

# Ab initio energy calculation



# Potential energy surface

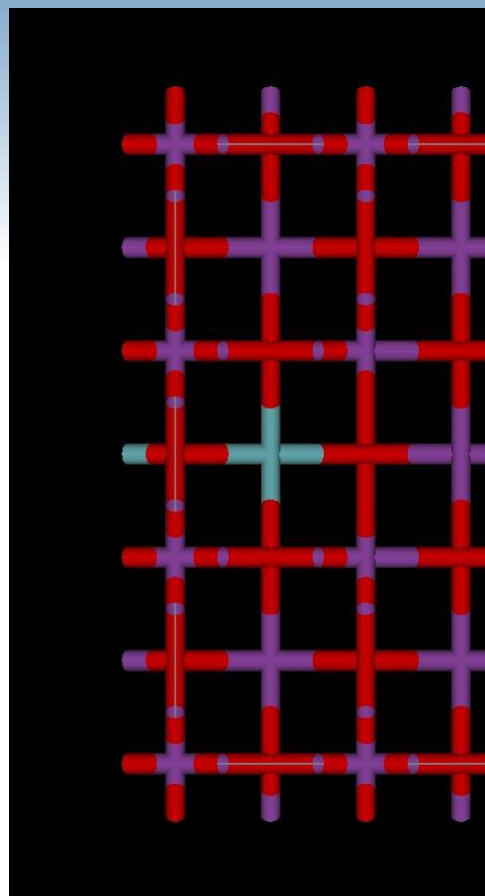
- Stable & metastable structures – finding them



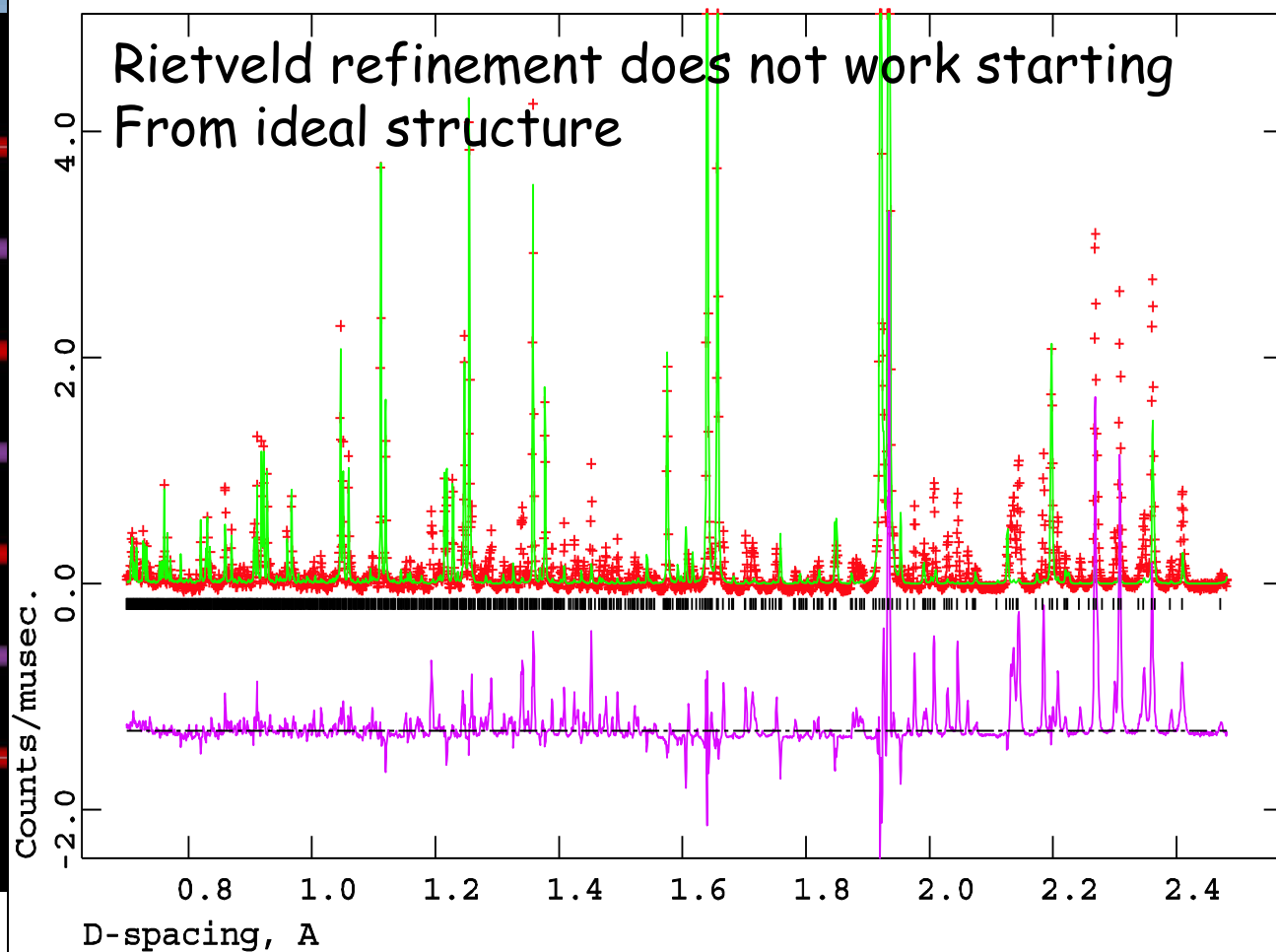
# Optimised structures

- Energy  $\rightarrow$  minimum
- *Forces  $\rightarrow 0 (\pm \delta)$*
- Determines crystal structure if starting model is 'good'
- *May need to explore PES more thoroughly/widely using eg. MD or Monte Carlo methods to find global minimum*
- Removes 'close contacts' before a MD simulation

# Optimised structures

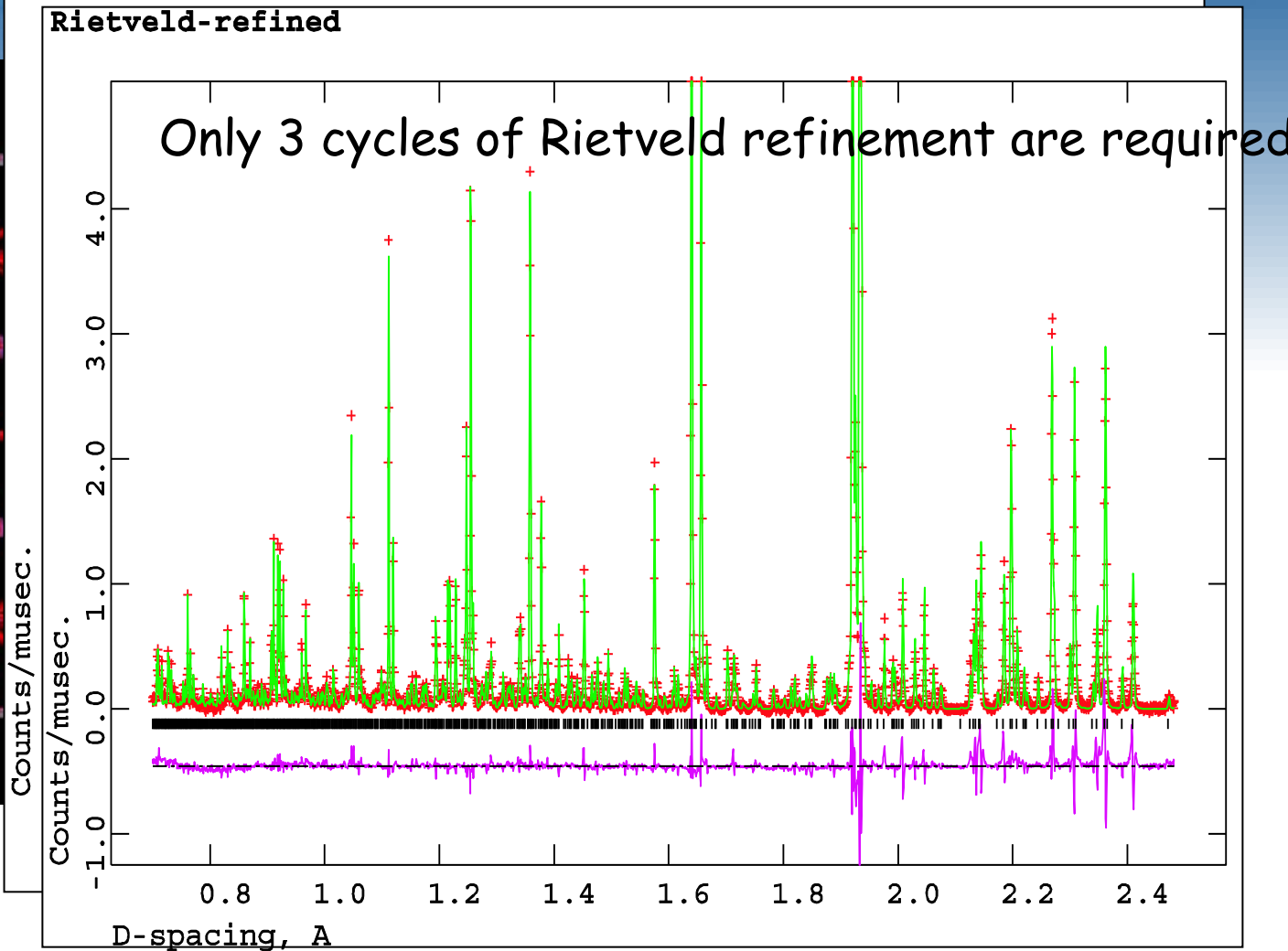
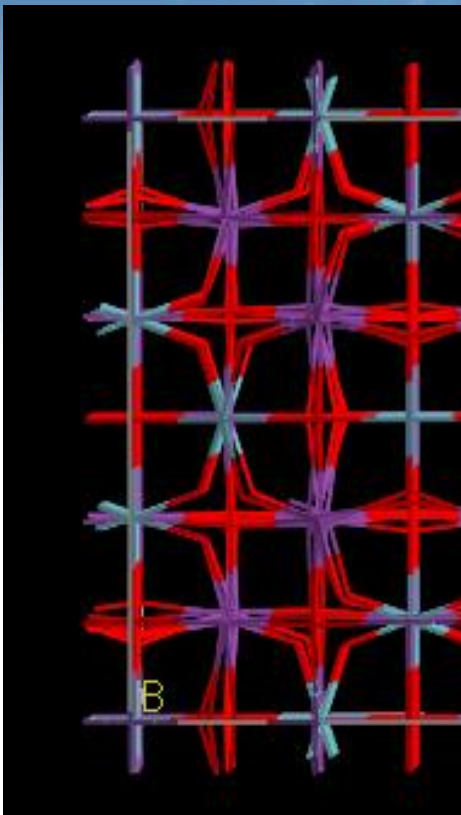


Starting model



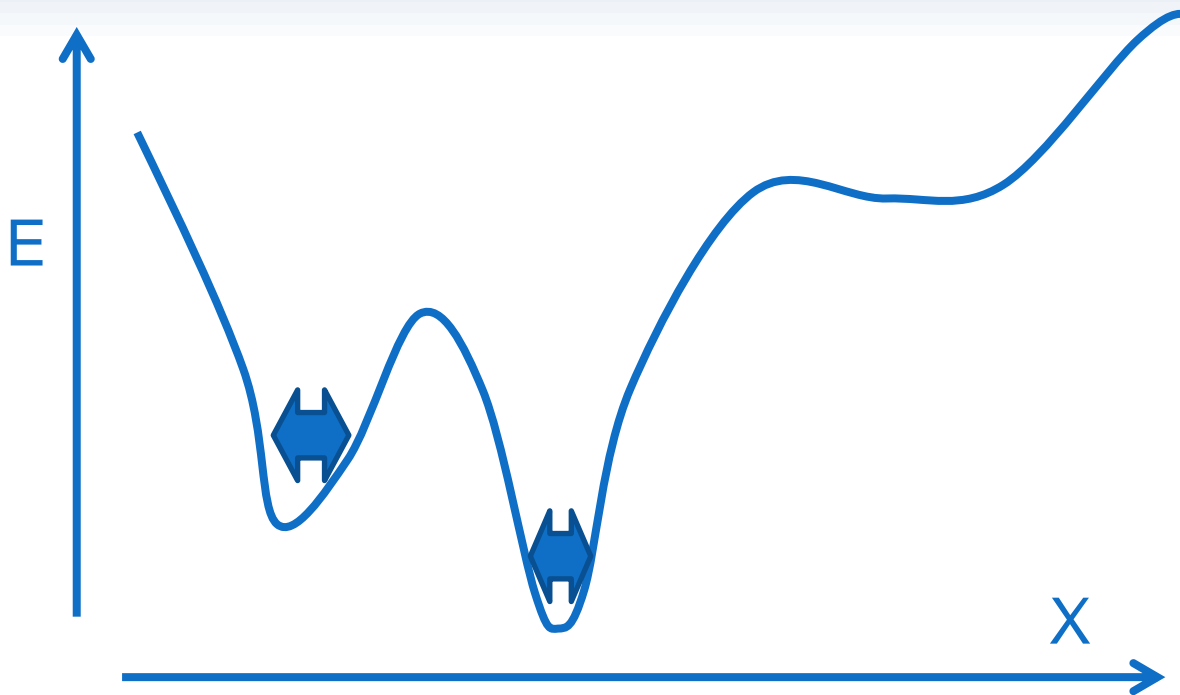
VASP-optimised

Rietveld-refined



# Potential energy surface

- Small amplitude vibrations

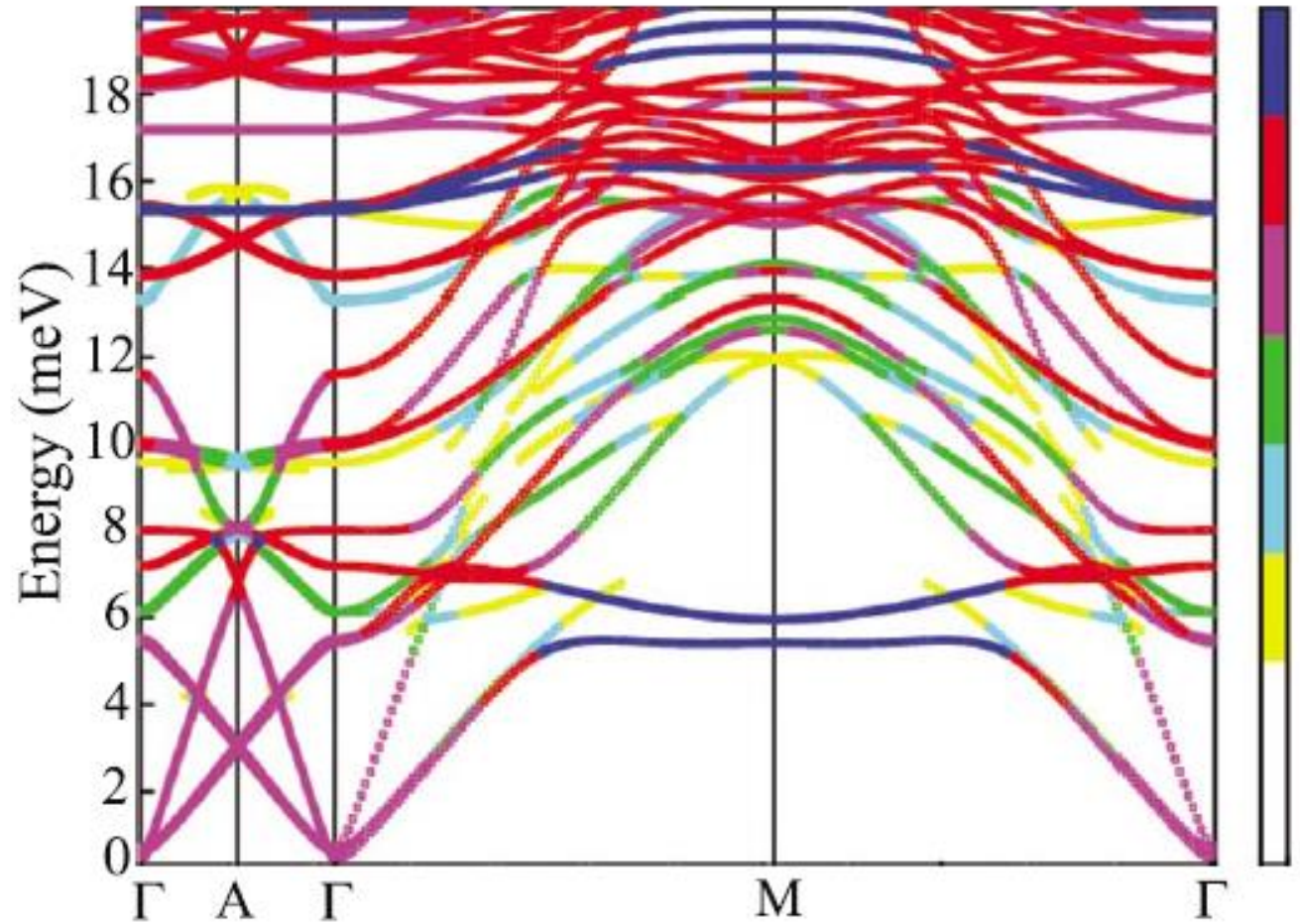
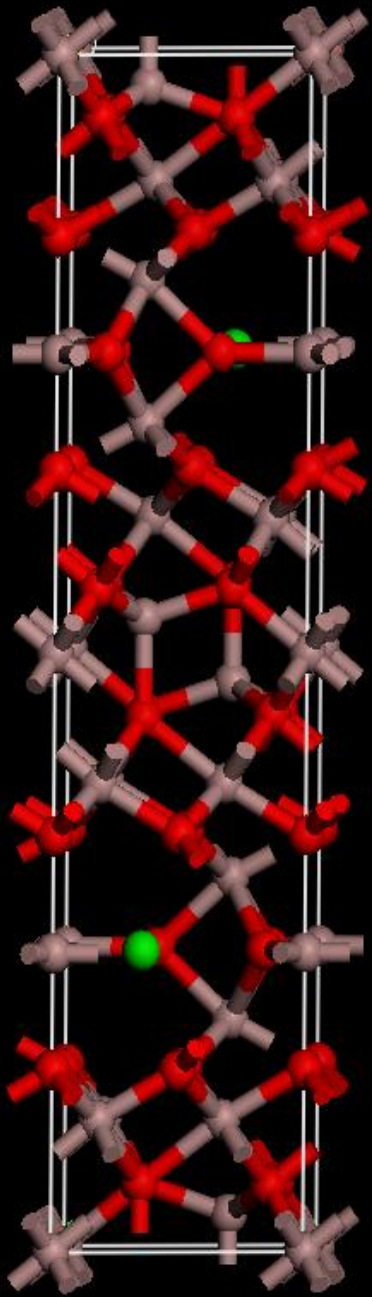


# Lattice dynamics simulations

- Small amplitude dynamics (vibrations) about minima of the potential energy surface
- *Hessian is matrix of inter-atomic force constants (FC)*
- Dynamical matrix introduces atomic masses ( $m$ ) and wavevector ( $Q$ ) – it is a generalisation of solving the equations of motion for a monatomic chain
  - $DM_{ij}(\mathbf{Q}) = FC_{ij} \cdot \exp[i\mathbf{Q}(\mathbf{r}_i - \mathbf{r}_j)] / \sqrt{(m_i m_j)}$
- *Eigenvalues and eigenvectors of DM give  $Q$ -dependent vibration frequencies (squared) and displacement vectors*

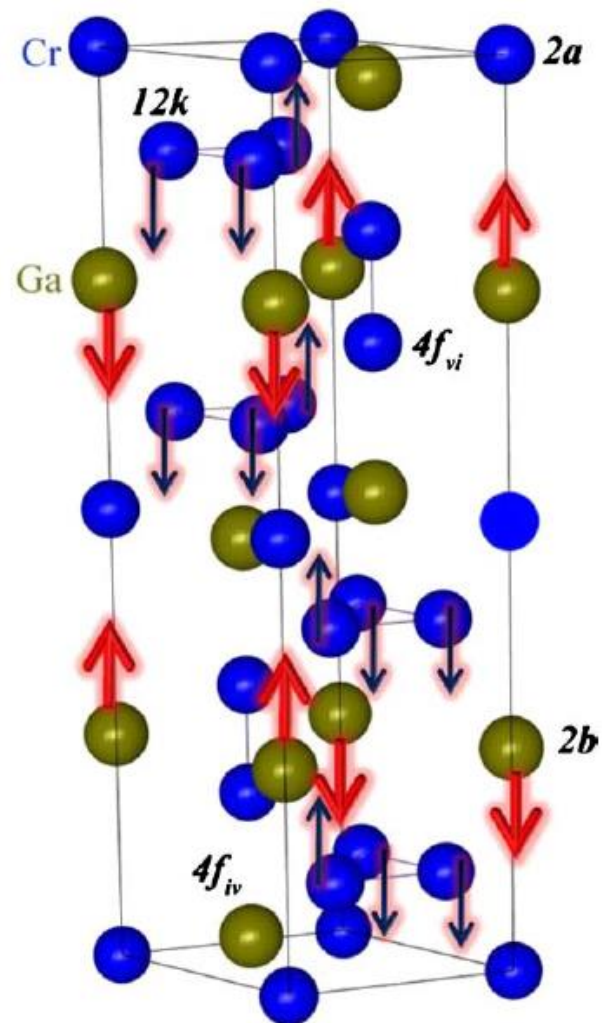
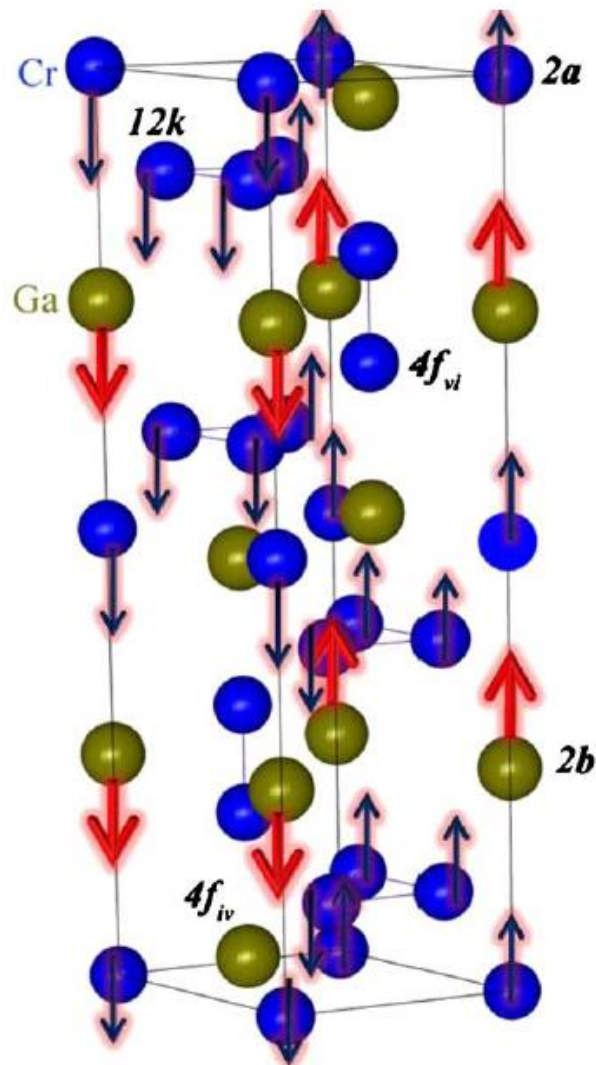


# DOS & dispersion relations



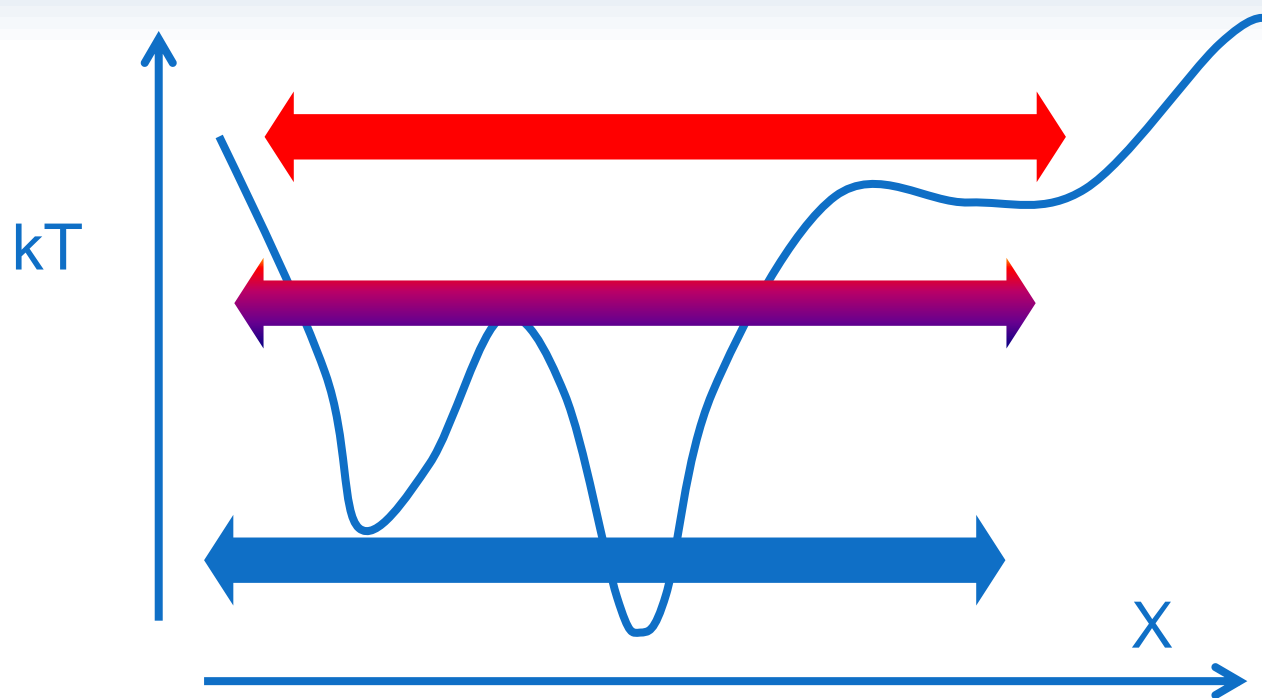
# Displacement vectors

- Strong Ga displacement
- But Kagome triangles rock and drive relaxation
- Contrast with  $\Gamma$  point modes



# Potential energy surface

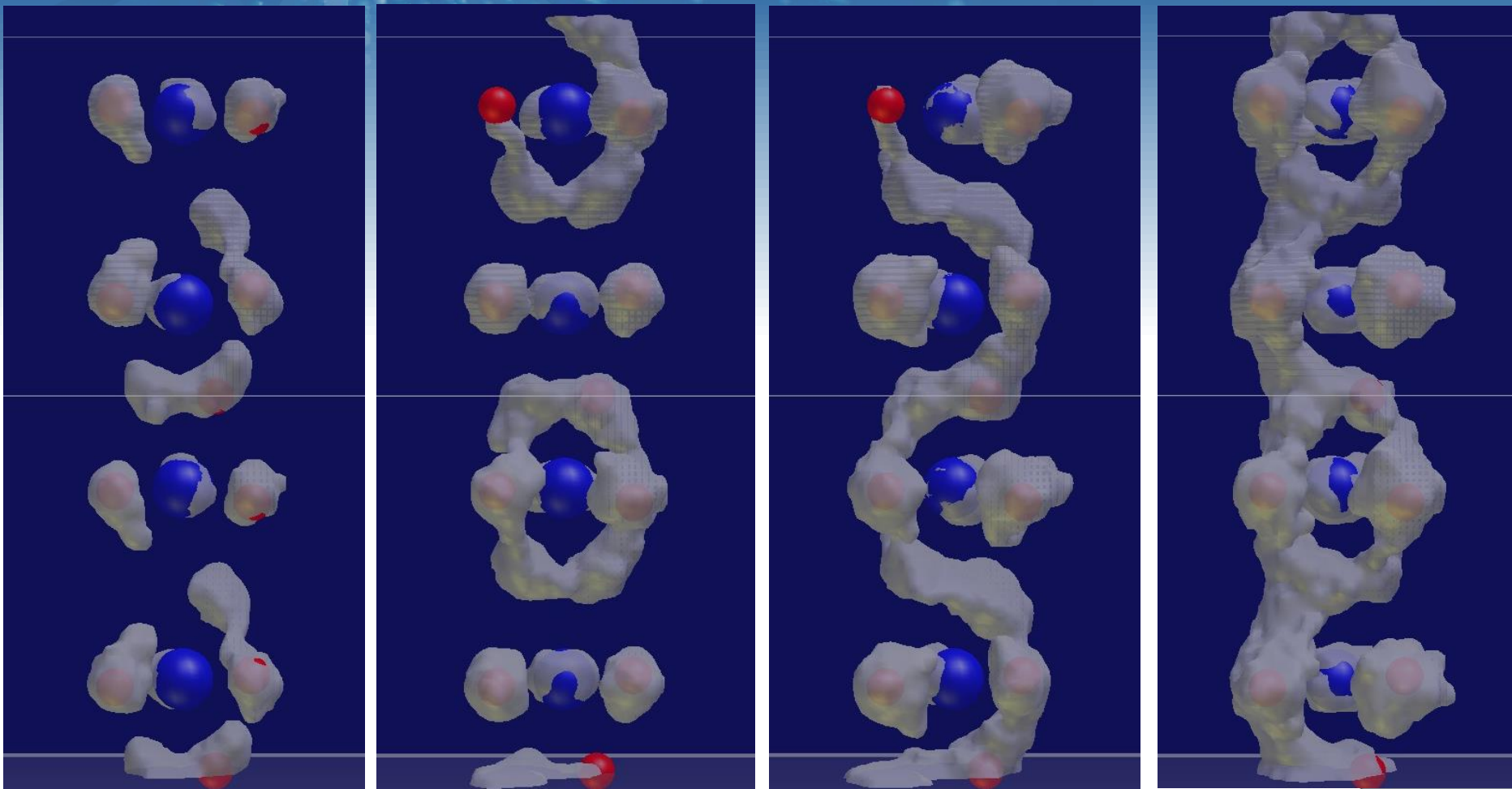
- Kinetic energy to explore all (thermally accessible) degrees of freedom



# Molecular dynamics simulations

- Exploring small and large amplitude motions in the PES
- Simple equations intelligently implemented
  - $F \rightarrow a = F/m \rightarrow r' = r + v \cdot dt$  &  $v' = v + a \cdot dt$
  - Initial velocities from requested temperature
    - $\Sigma mv^2/2 = 3kT/2$
  - Total time =  $n \cdot dt$
- Potential & kinetic energy will exchange resulting in a new temperature
  - Thermostat: NVE  $\rightarrow$  NVT
  - Barostat: NVT  $\rightarrow$  NPT

# Understanding experimental data...

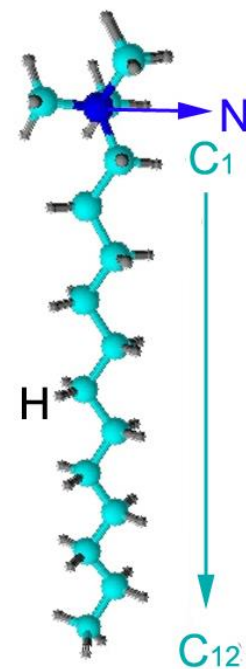
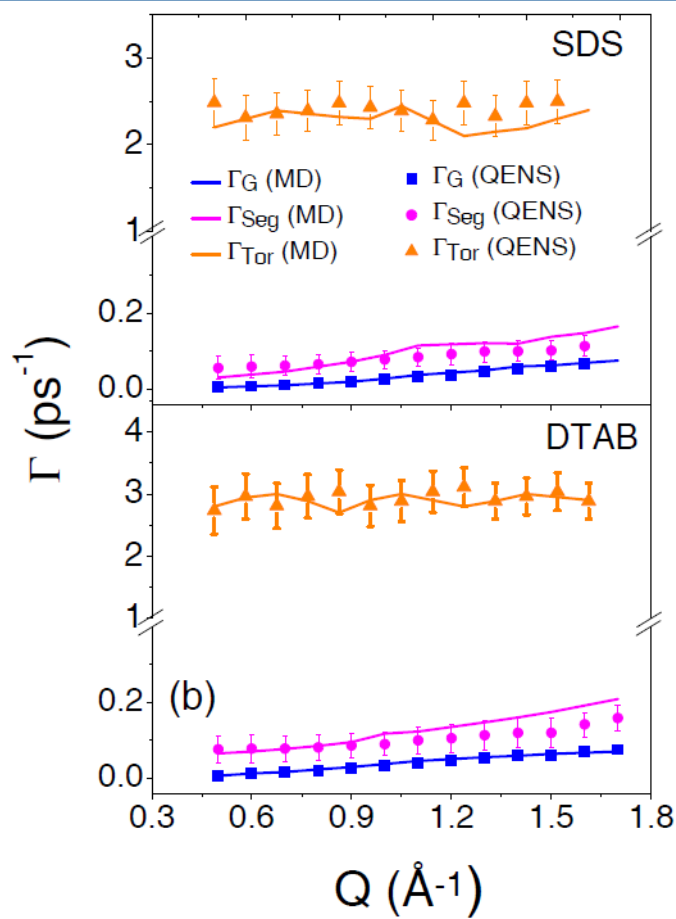
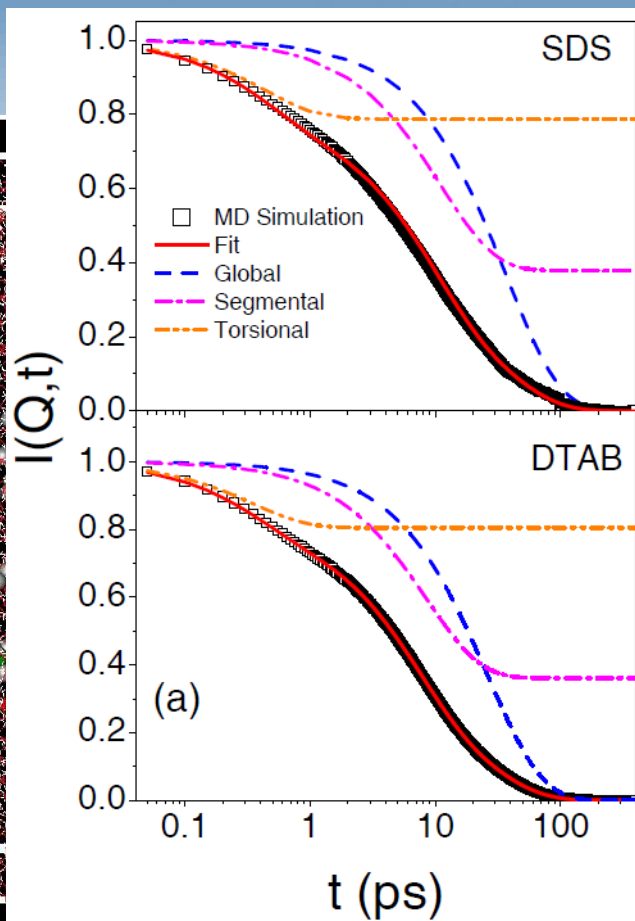


Temperature

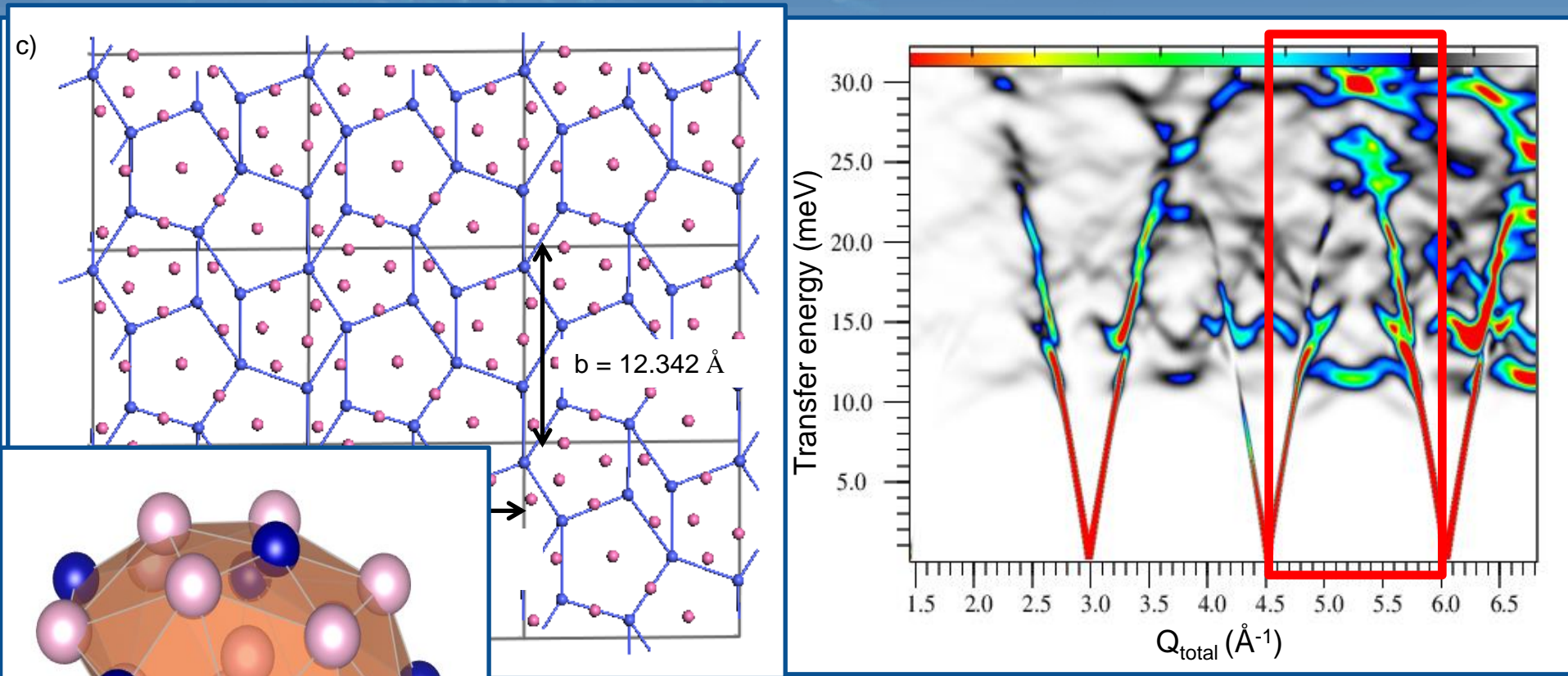
# Molecular dynamics output

- Time-averaged structure –  $S(Q, \omega=0)$ ,  $S(Q)=I(Q, t=0)$
- *Vibrational density-of-states – FT of the velocity auto-correlation function*
- $I(Q, t)$  &  $S(Q, \omega)$ : coherent and incoherent
  - *Intensity-weighted dispersion relations from supercell simulations – excitations must be commensurate with simulation box*
- *Diffusion constants*
- *Disorder: dynamic or static?*
- Normal modes from principle component analysis
- ...

# MD output – $I(Q,t)$



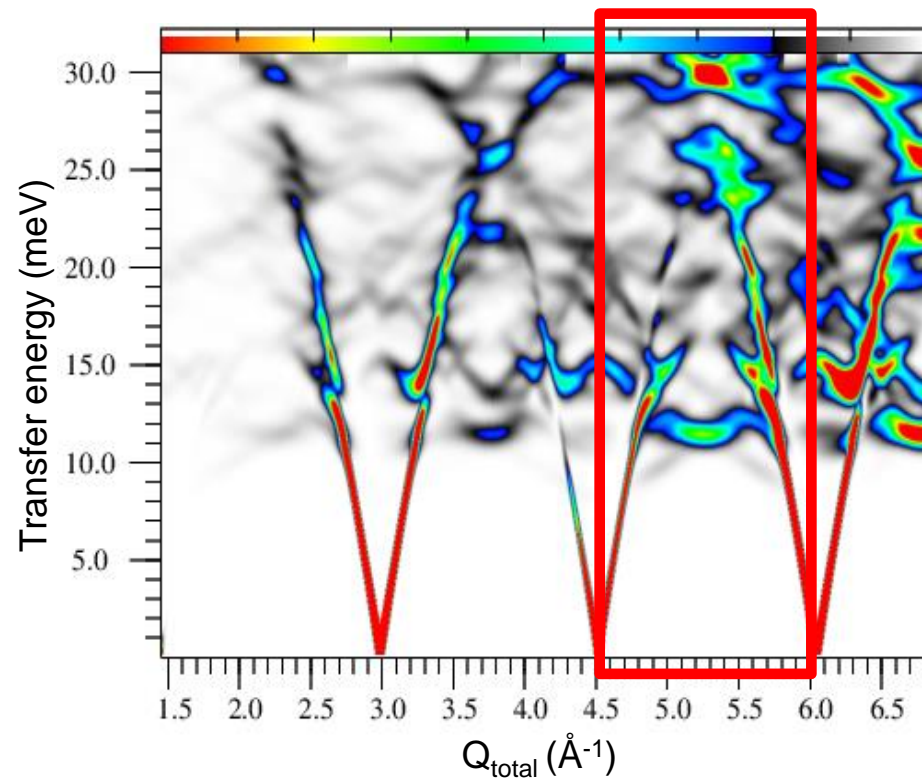
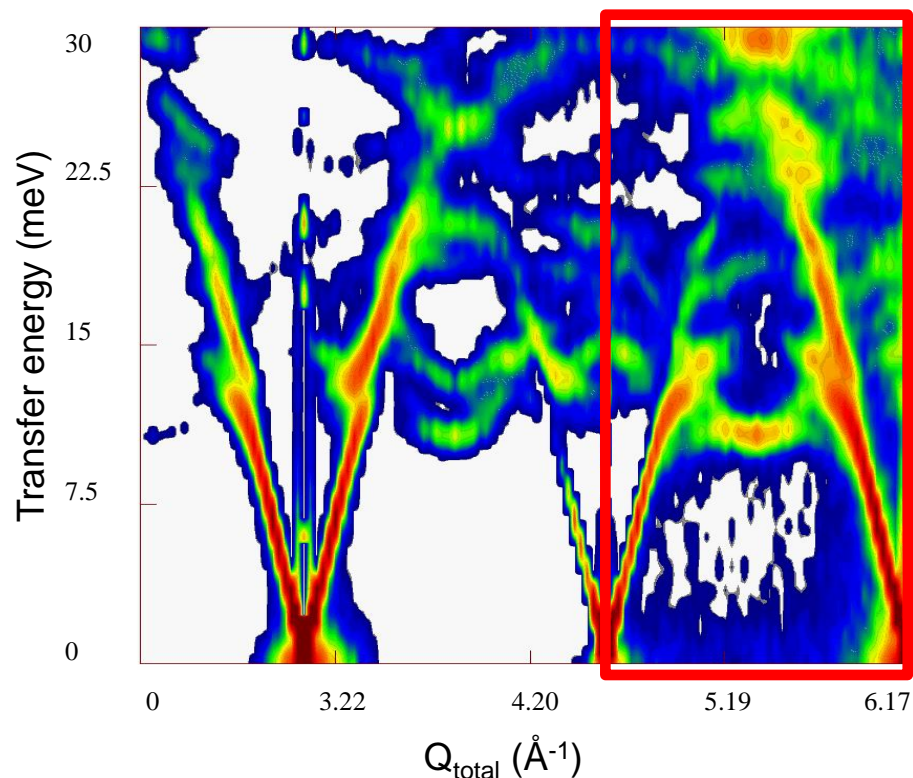
# MD output – SQW



*LD – DFT, ~100 atoms,  
harmonic approx.*



# MD output – SQW

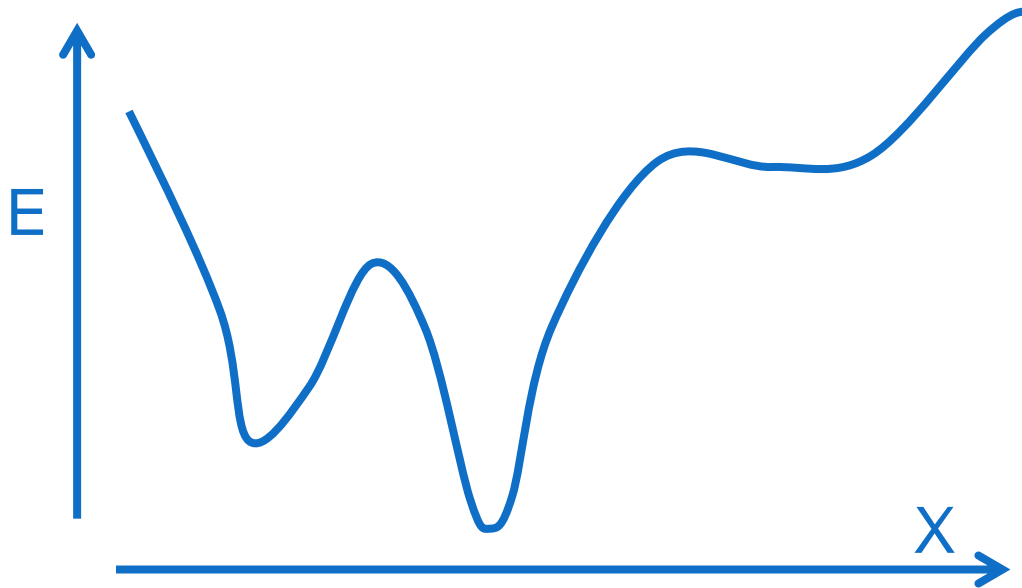


*MD – FF,  $10^6$  atoms,  
anharmonic and  $T$  effects*

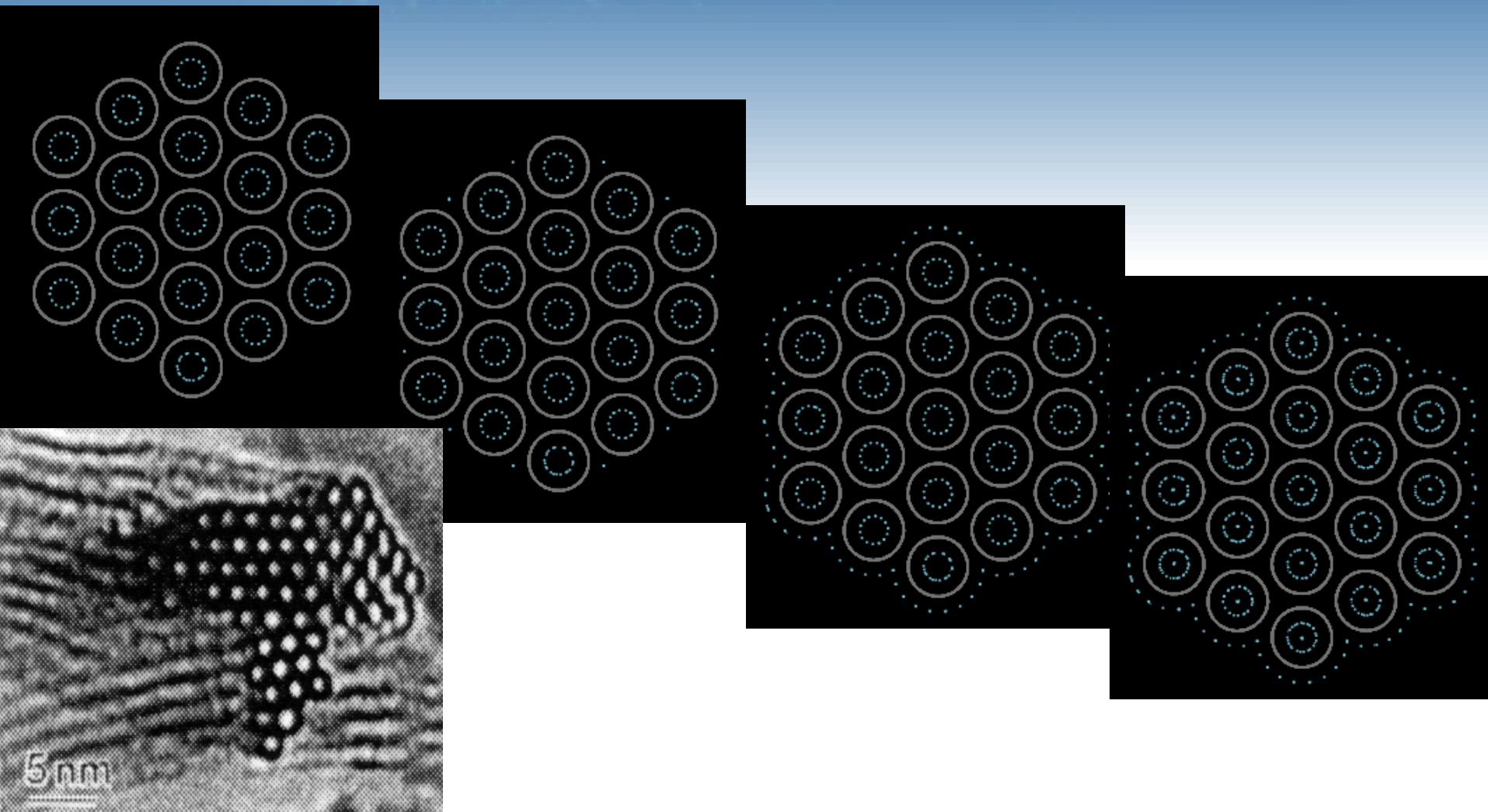
*LD – DFT,  $\sim 100$  atoms,  
harmonic approx.*

# Potential energy surface

- Monte Carlo methods may be better for exploring the PES
- *Random displacement*
- ACCEPT if  $\Delta E \leq 0$
- $P = \exp(-\Delta E/kT)$  if  $\Delta E > 0$ , then ACCEPT if  $P >$  random no.



# MC: structure determination



**What to remember...**

# DFT vs FF

- DFT is much more accurate (unless FF is determined from DFT specifically for a composition/T/P/etc) and transferable than FF methods
- DFT determines electronic & spin structure
- DFT allows bond breaking/formation – chemical reactions
- DFT is  $10^6$  ( $10^3$  in space &  $10^3$  in time) times 'slower' than FF methods

# Exploring the PES

- Both DFT and FF can be used – the choice depends on the size of the system and what is of interest
- SPE: Mapping
- GO: Find minima – (meta-) stable structures
- LD: Lattice dynamics (vibrations) – harmonic approximation (minimise + mapping)
- MD: Molecular dynamics – anharmonic contributions and temperature

# Calculate and compare with experiment

- Ground state structures including magnetic
- Time averaged, liquid-like structures –  $S(Q)$
- Relaxation processes via QENS –  $S(Q,\omega)$  or  $I(Q,t)$
- Quantum excitations from PES & solving Schroedinger's equation
- Vibrational density of states
- Dispersion relations (LD) and phonon lifetimes (MD)

# Perspectives

- More accurate electronic methods for magnetic systems
- Approximate electronic methods to bridge the gap in time and length scales between DFT and FF methods
- Coarse grain (mesoscale) methods to explore conformation space of large (polymer, membrane, protein,...) systems
- Refining potentials against experimental data





PES near Grenoble 😊



### **Further reading...**

#### **Presentations from MDANSE schools at ILL in 2012 & 2014**

<http://www.ill.eu/en/html/instruments-support/computing-for-science/modelling/mdanse-2012/>

<http://www.ill.eu/en/html/instruments-support/computing-for-science/modelling/mdanse-2014/>

#### **Proceedings of SFN French Neutron School 2010 on simulations:**

[http://www.neutron-sciences.org/index.php?option=com\\_toc&url=/articles/sfn/abs/2011/01/contents/contents.html](http://www.neutron-sciences.org/index.php?option=com_toc&url=/articles/sfn/abs/2011/01/contents/contents.html)